

Logic Programming

Unification

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Unification

Solving term equations:

Given: Two terms s and t .

Find: A **substitution** σ such that $\sigma(s) = \sigma(t)$.

Substitutions

- A $T(\mathcal{F}, \mathcal{V})$ -**substitution**: A function $\sigma : \mathcal{V} \rightarrow T(\mathcal{F}, \mathcal{V})$, whose **domain**

$$\text{Dom}(\sigma) := \{x \mid \sigma(x) \neq x\}$$

is finite.

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- **Range** of a substitution σ :

$$\mathcal{Ran}(\sigma) := \{\sigma(x) \mid x \in \mathcal{Dom}(\sigma)\}.$$

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$$\mathcal{VRan}(\sigma) := \mathcal{Var}(\mathcal{Ran}(\sigma)).$$

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- ▶ Notation: lower case Greek letters $\sigma, \vartheta, \varphi, \psi, \dots$
Identity substitution: ε .

Substitutions

- Notation: If $\text{Dom}(\sigma) = \{x_1, \dots, x_n\}$, then σ can be written as the set

$$\{x_1 \mapsto \sigma(x_1), \dots, x_n \mapsto \sigma(x_n)\}.$$

- Example:

$$\{x \mapsto i(y), y \mapsto e\}.$$

Substitutions

- The substitution σ can be extended to a mapping

$$\sigma : T(\mathcal{F}, \mathcal{V}) \rightarrow T(\mathcal{F}, \mathcal{V})$$

by induction:

$$\sigma(f(t_1, \dots, t_n)) = f(\sigma(t_1), \dots, \sigma(t_n)).$$

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$$\sigma = \{x \mapsto i(y), y \mapsto e\}.$$

$$t = f(y, f(x, y))$$

$$\sigma(t) = f(e, f(i(y), e))$$

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- $\mathcal{S}ub$: The set of substitutions.

More Notions about Substitutions

- **Composition** of ϑ and σ :

$$\sigma\vartheta(x) := \sigma(\vartheta(x)).$$

- Composition of two substitutions is again a substitution.
- Composition is associative but not commutative.

More Notions about Substitutions

Algorithm for obtaining a set representation of a composition of two substitutions in a set form.

► Given:

$$\begin{aligned}\theta &= \{x_1 \mapsto t_1, \dots, x_n \mapsto t_n\} \\ \sigma &= \{y_1 \mapsto s_1, \dots, y_m \mapsto s_m\},\end{aligned}$$

the set representation of their composition $\sigma\theta$ is obtained from the set

$$\{x_1 \mapsto \sigma(t_1), \dots, x_n \mapsto \sigma(t_n), y_1 \mapsto s_1, \dots, y_m \mapsto s_m\}$$

by deleting

- all $y_i \mapsto s_i$'s with $y_i \in \{x_1, \dots, x_n\}$,
- all $x_i \mapsto \sigma(t_i)$'s with $x_i = \sigma(t_i)$.

More Notions about Substitutions

Example (Composition)

$$\theta = \{x \mapsto f(y), y \mapsto z\}.$$

$$\sigma = \{x \mapsto a, y \mapsto b, z \mapsto y\}.$$

$$\sigma\theta = \{x \mapsto f(b), z \mapsto y\}.$$

More Notions about Substitutions

- ▶ t is an **instance** of s iff there exists a σ such that

$$\sigma(s) = t.$$

- ▶ Notation: $t \succsim s$ (or $s \lesssim t$).
- ▶ Reads: t is more specific than s , or s is more general than t .
- ▶ \succsim is a quasi-order.
- ▶ Strict part: $>$.

More Notions about Substitutions

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- ▶ \succeq is a quasi-order.
- ▶ Strict part: $>$.
- ▶ Example: $f(e, f(i(y), e)) \succeq f(y, f(x, y))$, because

$$\sigma(f(y, f(x, y))) = f(e, f(i(y), e))$$

for $\sigma = \{x \mapsto i(y), y \mapsto e\}$

Unification

Syntactic unification:

Given: Two terms s and t .

Find: A substitution σ such that $\sigma(s) = \sigma(t)$.

- ▶ σ : a **unifier** of s and t .
- ▶ σ : a **solution** of the equation $s =^? t$.

Examples

$f(x) \stackrel{?}{=} f(a) :$ exactly one unifier $\{x \mapsto a\}$

$x \stackrel{?}{=} f(y) :$ infinitely many unifiers

$\{x \mapsto f(y)\}, \{x \mapsto f(a), y \mapsto a\}, \dots$

$f(x) \stackrel{?}{=} g(y) :$ no unifiers

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Examples

$x =^? f(y)$: infinitely many unifiers

$$\{x \mapsto f(y)\}, \{x \mapsto f(a), y \mapsto a\}, \dots$$

- Some solutions are better than the others: $\{x \mapsto f(y)\}$ is more general than $\{x \mapsto f(a), y \mapsto a\}$

Substitutions

Instantiation Quasi-Ordering

- ▶ A substitution σ is **more general** than ϑ , written $\sigma \lesssim \vartheta$, if there exists η such that $\eta\sigma = \vartheta$.
- ▶ ϑ is called an **instance** of σ .
- ▶ The relation \lesssim is quasi-ordering (reflexive and transitive binary relation), called **instantiation quasi-ordering**.
- ▶ \sim is the equivalence relation corresponding to \lesssim , i.e., the relation $\lesssim \cap \gtrsim$.

Example

Let $\sigma = \{x \mapsto y\}$, $\rho = \{x \mapsto a, y \mapsto a\}$, $\vartheta = \{y \mapsto x\}$.

- ▶ $\sigma \lesssim \rho$, because $\{y \mapsto a\}\sigma = \rho$.
- ▶ $\sigma \lesssim \vartheta$, because $\{y \mapsto x\}\sigma = \vartheta$.
- ▶ $\vartheta \lesssim \sigma$, because $\{x \mapsto y\}\vartheta = \sigma$.
- ▶ $\sigma \sim \vartheta$.

Substitutions

Definition (Variable Renaming)

A substitution $\sigma = \{x_1 \mapsto y_1, x_2 \mapsto y_2, \dots, x_n \mapsto y_n\}$ is called **variable renaming** iff $\{x_1, \dots, x_n\} = \{y_1, \dots, y_n\}$.
(Permuting the domain variables.)

Example

- ▶ $\{x \mapsto y, y \mapsto z, z \mapsto x\}$ is a variable renaming.
- ▶ $\{x \mapsto a\}$, $\{x \mapsto y\}$, and $\{x \mapsto z, y \mapsto z, z \mapsto x\}$ are not.

Substitutions

Definition (Idempotent Substitution)

A substitution σ is **idempotent** iff $\sigma\sigma = \sigma$.

Example

Let $\sigma = \{x \mapsto f(z), y \mapsto z\}$, $\vartheta = \{x \mapsto f(y), y \mapsto z\}$.

- ▶ σ is idempotent.
- ▶ ϑ is not: $\vartheta\vartheta = \sigma \neq \vartheta$.

Substitutions

Lemma

$\sigma \sim \vartheta$ iff there exists a variable renaming ρ such that $\rho\sigma = \vartheta$.

Proof.

Exercise.



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Exercise. □

Example

- ▶ $\sigma = \{x \mapsto y\}$.
- ▶ $\vartheta = \{y \mapsto x\}$.
- ▶ $\sigma \sim \vartheta$.
- ▶ $\{x \mapsto y, y \mapsto x\}\sigma = \vartheta$.

Substitutions

Theorem

σ is idempotent iff $\text{Dom}(\sigma) \cap \text{VRan}(\sigma) = \emptyset$.

Proof.

Exercise. □

Substitutions

Definition (Unification Problem, Unifier, MGU)

- **Unification problem:** A finite set of equations
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- ▶ $\mathcal{U}(\Gamma)$: The set of all unifiers of Γ . Γ is **unifiable** iff $\mathcal{U}(\Gamma) \neq \emptyset$.

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- ▶ $\mathcal{U}(\Gamma)$: The set of all unifiers of Γ . Γ is **unifiable** iff $\mathcal{U}(\Gamma) \neq \emptyset$.
- ▶ σ is a **most general unifier (mgu)** of Γ iff it is a least element of $\mathcal{U}(\Gamma)$:
 - ▶ $\sigma \in \mathcal{U}(\Gamma)$, and
 - ▶ $\sigma \lesssim \vartheta$ for every $\vartheta \in \mathcal{U}(\Gamma)$.

Unifiers

Example

$\sigma := \{x \mapsto y\}$ is an mgu of $x =^? y$.

For any other unifier ϑ of $x =^? y$, $\sigma \lesssim \vartheta$ because

- ▶ $\vartheta(x) = \vartheta(y) = \vartheta\sigma(x)$.
- ▶ $\vartheta(y) = \vartheta\sigma(y)$.
- ▶ $\vartheta(z) = \vartheta\sigma(z)$ for any other variable z .

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$\sigma' := \{x \mapsto z, y \mapsto z\}$ is a unifier but not an mgu of $x =^? y$.

- ▶ $\sigma' = \{y \mapsto z\}\sigma$.
- ▶ $\{z \mapsto y\}\sigma' = \{x \mapsto y, z \mapsto y\} \neq \sigma$.

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$\sigma'' = \{x \mapsto y, z_1 \mapsto z_2, z_2 \mapsto z_1\}$ is an mgu of $x =^? y$.

- ▶ $\sigma = \{z_1 \mapsto z_2, z_2 \mapsto z_1\}\sigma''$.
- ▶ σ'' is not idempotent.

Unification

Question: How to compute an mgu of an unification problem?

Rule-Based Formulation of Unification

- ▶ Unification algorithm in a rule-base way.
- ▶ Repeated transformation of a set of equations.
- ▶ The left-to-right search for disagreements: modeled by term decomposition.

The Inference System \mathcal{U}

- ▶ A set of equations in **solved form**:

$$\{x_1 \approx t_1, \dots, x_n \approx t_n\}$$

where each x_i occurs exactly once.

- ▶ For each idempotent substitution there exists exactly one set of equations in solved form.
- ▶ Notation:
 - ▶ $[\sigma]$ for the solved form set for an idempotent substitution σ .
 - ▶ σ_S for the idempotent substitution corresponding to a solved form set S .

The Inference System \mathcal{U}

- ▶ **System:** The symbol \perp or a pair $P; S$ where
 - ▶ P is a set of unification problems,
 - ▶ S is a set of equations in solved form.
- ▶ \perp represents failure.
- ▶ A unifier (or a solution) of a system $P; S$: A substitution that unifies each of the equations in P and S .
- ▶ \perp has no unifiers.

The Inference System \mathcal{U}

Example

- ▶ System: $\{g(a) =? g(y), g(z) =? g(g(x))\}; \{x \approx g(y)\}$.
- ▶ Its unifier: $\{x \mapsto g(a), y \mapsto a, z \mapsto g(g(a))\}$.

The Inference System \mathcal{U}

Six transformation rules on systems:¹

Trivial:

$$\{s =^? s\} \uplus P'; S \Leftrightarrow P'; S.$$

Decomposition:

$$\begin{aligned} \{f(s_1, \dots, s_n) =^? f(t_1, \dots, t_n)\} \uplus P'; S \Leftrightarrow \\ \{s_1 =^? t_1, \dots, s_n =^? t_n\} \cup P'; S, \text{ where } n \geq 0. \end{aligned}$$

Symbol Clash:

$$\{f(s_1, \dots, s_n) =^? g(t_1, \dots, t_m)\} \uplus P'; S \Leftrightarrow \perp, \text{ if } f \neq g.$$

¹ \uplus stands for disjoint union.

The Inference System \mathcal{U}

Orient:

$$\{t =^? x\} \uplus P'; S \Leftrightarrow \{x =^? t\} \cup P'; S, \text{ if } t \notin \mathcal{V}.$$

Occurs Check:

$$\{x =^? t\} \uplus P'; S \Leftrightarrow \perp \text{ if } x \in \mathcal{Var}(t) \text{ but } x \neq t.$$

Variable Elimination:

$$\{x =^? t\} \uplus P'; S \Leftrightarrow \{x \mapsto t\}(P'); \{x \mapsto t\}(S) \cup \{x \approx t\},$$

if $x \notin \mathcal{Var}(t)$.

Unification with \mathfrak{U}

In order to unify s and t :

1. Create an initial system $\{s \stackrel{?}{=} t\}; \emptyset$.
2. Apply successively rules from \mathfrak{U} .

The system \mathfrak{U} is essentially the Herbrand's Unification Algorithm.

Examples

Example (Failure)

Unify $p(f(a), g(x))$ and $p(y, y)$.

$$\{p(f(a), g(x)) =^? p(y, y)\}; \emptyset \Longrightarrow_{\text{Dec}}$$

$$\{f(a) =^? y, g(x) =^? y\}; \emptyset \Longrightarrow_{\text{Or}}$$

$$\{y =^? f(a), g(x) =^? y\}; \emptyset \Longrightarrow_{\text{VarEI}}$$

$$\{g(x) =^? f(a)\}; \{y \approx f(a)\} \Longrightarrow_{\text{SymCI}}$$

\perp

Examples

Example (Success)

Unify $p(a, x, h(g(z)))$ and $p(z, h(y), h(y))$.

$$\begin{aligned} &\{p(a, x, h(g(z))) =^? p(z, h(y), h(y))\}; \emptyset \implies_{\text{Dec}} \\ &\{a =^? z, x =^? h(y), h(g(z)) =^? h(y)\}; \emptyset \implies_{\text{Or}} \\ &\{z =^? a, x =^? h(y), h(g(z)) =^? h(y)\}; \emptyset \implies_{\text{VarEl}} \\ &\{x =^? h(y), h(g(a)) =^? h(y)\}; \{z \approx a\} \implies_{\text{VarEl}} \\ &\{h(g(a)) =^? h(y)\}; \{z \approx a, x \approx h(y)\} \implies_{\text{Dec}} \\ &\{g(a) =^? y\}; \{z \approx a, x \approx h(y)\} \implies_{\text{Or}} \\ &\{y =^? g(a)\}; \{z \approx a, x \approx h(y)\} \implies_{\text{VarEl}} \\ &\emptyset; \{z \approx a, x \approx h(g(a)), y \approx g(a)\}. \end{aligned}$$

Answer: $\{z \mapsto a, x \mapsto h(g(a)), y \mapsto g(a)\}$

Examples

Example (Failure)

Unify $p(x, x)$ and $p(y, f(y))$.

$$\begin{aligned} \{p(x, x) =^? p(y, f(y))\}; \emptyset &\Longrightarrow_{\text{Dec}} \\ \{x =^? y, x =^? f(y)\}; \emptyset &\Longrightarrow_{\text{VarEl}} \\ \{y =^? f(y)\}; \{x \approx y\} &\Longrightarrow_{\text{OccCh}} \\ &\perp \end{aligned}$$

Properties of \mathfrak{U} : Termination

Lemma

For any finite set of equations P , every sequence of transformations in \mathfrak{U}

$$P; \emptyset \Leftrightarrow P_1; S_1 \Leftrightarrow P_2; S_2 \Leftrightarrow \dots$$

terminates either with \perp or with $\emptyset; S$, with S in solved form.

Properties of \mathcal{U} : Termination

Proof.

Complexity measure on the set P of equations: $\langle n_1, n_2, n_3 \rangle$, ordered lexicographically on triples of naturals, where

n_1 = The number of distinct variables in P .

n_2 = The number of symbols in P .

n_3 = The number of equations in P of the form $t =^? x$ where t is not a variable.

Properties of \mathfrak{U} : Termination

Proof [Cont.]

Each rule in \mathfrak{U} strictly reduces the complexity measure.

Rule	n_1	n_2	n_3
Trivial	\geq	$>$	
Decomposition	$=$	$>$	
Orient	$=$	$=$	$>$
Variable Elimination	$>$		

Properties of \mathcal{U} : Termination

Proof [Cont.]

- ▶ A rule can always be applied to a system with non-empty P .
- ▶ The only systems to which no rule can be applied are \perp and $\emptyset; S$.
- ▶ Whenever an equation is added to S , the variable on the left-hand side is eliminated from the rest of the system, i.e. S_1, S_2, \dots are in solved form.



Corollary

If $P; \emptyset \Leftrightarrow^+ \emptyset; S$ then σ_S is idempotent.

Properties of \mathcal{U} : Correctness

Notation: Γ for systems.

Lemma

For any transformation $P; S \Leftrightarrow \Gamma$, a substitution ϑ unifies $P; S$ iff it unifies Γ .

Properties of \mathcal{U} : Correctness

Proof.

Occurs Check: If $x \in \mathcal{Var}(t)$ and $x \neq t$, then

- ▶ x contains fewer symbols than t ,
- ▶ $\vartheta(x)$ contains fewer symbols than $\vartheta(t)$ (for any ϑ).

Therefore, $\vartheta(x)$ and $\vartheta(t)$ can not be unified.

Variable Elimination: From $\vartheta(x) = \vartheta(t)$, by structural induction on u :

$$\vartheta(u) = \vartheta\{x \mapsto t\}(u)$$

for any term, equation, or set of equations u . Then

$$\vartheta(P') = \vartheta\{x \mapsto t\}(P'), \quad \vartheta(S') = \vartheta\{x \mapsto t\}(S').$$



Properties of \mathcal{U} : Correctness

Theorem (Soundness)

If $P; \emptyset \Leftrightarrow^+ \emptyset; S$, then σ_S unifies any equation in P .

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Proof.

By induction on the length of derivation, using the previous lemma and the fact that σ_S unifies S . □

Properties of \mathcal{U} : Correctness

Theorem (Completeness)

If ϑ unifies every equation in P , then any maximal sequence of transformations $P; \emptyset \Leftrightarrow \cdots$ ends in a system $\emptyset; S$ such that $\sigma_S \lesssim \vartheta$.

Properties of \mathcal{U} : Correctness

Theorem (Completeness)

If ϑ unifies every equation in P , then any maximal sequence of transformations $P; \emptyset \Leftrightarrow \cdots$ ends in a system $\emptyset; S$ such that $\sigma_S \lesssim \vartheta$.

Proof.

Such a sequence must end in $\emptyset; S$ where ϑ unifies S (why?).
For every binding $x \mapsto t$ in σ_S , $\vartheta\sigma_S(x) = \vartheta(t) = \vartheta(x)$ and for every $x \notin \text{Dom}(\sigma_S)$, $\vartheta\sigma_S(x) = \vartheta(x)$. Hence, $\vartheta = \vartheta\sigma_S$. □

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Corollary

If P has no unifiers, then any maximal sequence of transformations from $P; \emptyset$ must have the form $P; \emptyset \Leftrightarrow \cdots \Leftrightarrow \perp$.

Observations

- ▶ \mathcal{U} computes an idempotent mgu.
- ▶ The choice of rules in computations via \mathcal{U} is “don’t care” nondeterminism (the word “any” in Completeness Theorem).
- ▶ Any control strategy will result to an mgu for unifiable terms, and failure for non-unifiable terms.
- ▶ Any practical algorithm that proceeds by performing transformations of \mathcal{U} in any order is
 - ▶ sound and complete,
 - ▶ generates mgus for unifiable terms.
- ▶ Not all transformation sequences have the same length.
- ▶ Not all transformation sequences end in exactly the same mgu.

Example 3.10 in Prolog

Recall: Unification algorithm fails for $p(x, x) \stackrel{?}{=} p(y, f(y))$ because of the occurrence check.

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But Prolog behaves differently:

Example (Infinite Terms)

?- $p(X, X) = p(Y, f(Y))$.

$X = f(**), Y = f(**)$.

In some versions of Prolog output looks like this:

$X = f(f(f(f(f(f(f(f(f(f(\dots))))))))))$

$Y = f(f(f(f(f(f(f(f(f(f(\dots))))))))))$

Occurrence Check

Prolog unification algorithm skips Occurrence Check.

Reason: Occurrence Check can be expensive.

Justification: Most of the time this rule is not needed.

Drawback: Sometimes might lead to unexpected answers.

Occurrence Check

Example

```
less(X,s(X)).
```

```
foo:-less(s(Y),Y).
```

```
?- foo.
```

Yes