

WS 2023

# Computer Algebra (selected slides)

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## Lecture 2: October 13, 2022

## Algorithm (Normalized) extended Euclidean algorithm

**Input:**  $f, g \in R$  with  $R$  Euclidean domain.

**Output:**  $\rho_i, r_i, s_i, t_i \in R$  for  $0 \leq i \leq l + 1$  and  $q_i$  for  $0 \leq i \leq l$

1.  $\rho_0 = \text{lu}(f)$ ,  $r_0 = \text{normal}(f)(= f/\rho_0)$ ,  $s_0 = \rho_0^{-1}$ ,  $t_0 = 0$   
 $\rho_1 = \text{lu}(g)$ ,  $r_1 = \text{normal}(g)(= g/\rho_1)$ ,  $s_1 = 0$ ,  $t_1 = \rho_1^{-1}$

2.  $i=1$

while  $r_i \neq 0$  do

$$q_i = r_{i-1} \text{ quot } r_i$$

$$r_{i+1} = r_{i-1} \text{ rem } r_i (= r_{i-1} - q_i r_i)$$

$$\rho_{i+1} = \text{lu}(r_{i+1})$$

$$r_{i+1} = \text{normal}(r_{i+1})(= r_{i+1}/\rho_{i+1})$$

$$s_{i+1} = (s_{i-1} - q_i s_i)/\rho_{i+1}$$

$$t_{i+1} = (t_{i-1} - q_i t_i)/\rho_{i+1}$$

$$i = i + 1$$

od

3.  $l = i - 1$

return  $\rho_i, r_i, s_i, t_i$  for  $0 \leq i \leq l + 1$ ,  $q_i$  for  $0 \leq i \leq l$

Define

$$R_0 = \begin{pmatrix} s_0 & t_0 \\ s_1 & t_1 \end{pmatrix}$$

$$Q_i = \begin{pmatrix} 0 & 1 \\ \rho_{i+1}^{-1} & -q_i \rho_{i+1}^{-1} \end{pmatrix}, \quad R_i = Q_i \dots Q_1 R_0 \quad 0 \leq i \leq l$$

**EEA-Lemma.** For  $0 \leq i \leq l$ :

$$(i) \quad R_i \begin{pmatrix} f \\ g \end{pmatrix} = \begin{pmatrix} r_i \\ r_{i+1} \end{pmatrix}$$

$$(ii) \quad R_i = \begin{pmatrix} s_i & t_i \\ s_{i+1} & t_{i+1} \end{pmatrix}$$

(iii)  $r_i, r_{i+1}$  and  $f, g$  have gcds, in particular:  $\gcd(f, g) = \gcd(r_i, r_{i+1}) = r_l$

(iv)  $s_i f + t_i g = r_i$  (also  $i = l + 1$ )

(v)  $s_i t_{i+1} - t_i s_{i+1} = (-1)^i (\rho_0 \dots \rho_{i+1})^{-1}$  and  $\gcd(s_i, t_i) = 1$

(vi)  $\gcd(r_i, t_i) = \gcd(f, t_i)$

(vii)  $f = (-1)^i \rho_0 \dots \rho_{i+1} (t_{i+1} r_i - t_i r_{i+1})$  and  
 $g = (-1)^{i+1} \rho_0 \dots \rho_{i+1} (s_{i+1} r_i - s_i r_{i+1})$

(viii) If  $R = \mathbb{F}[x]$  then  $\deg(t_i) + \deg(r_{i-1}) = \deg(f)$  for  $i \geq 1$ ,  
 $\deg(s_i) + \deg(r_{i-1}) = \deg(g)$  for  $i > 1$ .

# Lecture 4: November 9, 2023

## Algorithm CRA (Chinese Remainder Algorithm)

**Input:**  $m_0, \dots, m_{r-1} \in R^* \setminus R^+$  pairwise coprime,  
 $v_0, \dots, v_{r-1} \in R$  with  $R$  ED.

**Output:**  $f \in R$  with  $d(f) < d(m_0) + \dots + d(m_{r-1})$  such that for  $0 \leq i < r$ :

$$m_i \mid f - v_i \iff f \equiv v_i \pmod{m_i}$$

1.  $m = m_0 \dots m_{r-1} \in R$
2. for  $0 \leq i < r$  do
3.  $f_i = m/m_i \in R$
4. call the EEA and compute  $s_i, t_i \in R$  such that  $s_i f_i + t_i m_i = 1$
5.  $c_i = v_i s_i \text{ rem } m_i \in R$  [note:  $d(c_i) < d(m_i)$ ]
6. od
7. return  $f = \sum_{i=0}^{r-1} c_i f_i$

Remark 1:  $l_i = s_i f_i$  and  $c_i f_i = v_i l_i \text{ rem } m$ .

Remark 2: If  $d(v_i) < d(m_i)$  then  $f \text{ rem } m_i = v_i$ .

Remark 3: The computation of  $t_i$  in the EEA can be skipped.

# Lecture 5: November 16, 2023

**Theorem (Rational function reconstruction)** Let  $h, f \in \mathbb{F}[x]$  with  $\deg(f) < \deg(h) =: n$  and  $k \in \{1, \dots, n\}$ . Let  $\{(r_j, s_j, t_j)\}$  be the ERS of  $h$  and  $f$  and let  $j \in \mathbb{N}$  be minimal such that  $\deg(r_j) < k$ . Then:

1. There exist  $r, t \in \mathbb{Z}$  with

$$r \equiv t f \pmod{m} \quad \text{where } \deg(r_j) < k \text{ and } \deg(t_j) \leq n - k,$$

namely  $(r, t) = (r_j, t_j)$ .

If  $\gcd(r_j, t_j) = 1$  then  $\gcd(t_j, h) = 1$ .



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If  $\gcd(r_j, t_j) = 1$  then  $\gcd(t_j, h) = 1$ .

2. If  $\frac{r}{t} \in \mathbb{F}(x)$  is a canonical form solution to

$$r \equiv t f \pmod{h} \quad \Leftrightarrow \quad r t^{-1} \equiv f \pmod{h}$$

with  $\deg(t) \leq n - k$ ,  $\deg(r) < k$  and  $\gcd(t, h) = 1$ , then

$$(r, t) = \frac{1}{\text{lc}(t_j)} (r_j, t_j).$$

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3. There is a solution as in 2 iff  $\gcd(r_j, t_j) = 1$ .

**Theorem (Rational number reconstruction)** Let  $m, f \in \mathbb{N}$  with  $f < m$  and  $k \in \{1, \dots, m\}$ . Let  $\{(r_j, s_j, t_j)\}$  be the ERS of  $m$  and  $f$  and let  $j \in \mathbb{N}$  be minimal such that  $r_j < k$ .

1. There exist  $r, t \in \mathbb{Z}$  with

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namely  $(r, t) = \text{sgn}(t_j)(r_j, t_j)$ . If  $\gcd(r_j, t_j) = 1$  then  $\gcd(t_j, m) = 1$ .

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Define  $q \in \mathbb{N}^*$  with

$$r_{j-1} - qr_j < k \leq r_{j-1} - (q-1)r_j \quad [q = 0 \text{ if } j = l + 1]$$

Set  $r_j^* = r_{j-1} - qr_j, \quad t_j^* = t_{j-1} - qt_j.$

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$$(r, t) = \text{sgn}(t_j)(r_j, t_j) \quad \text{or} \quad (r, t) = \text{sgn}(t_j^*)(r_j^*, t_j^*).$$

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namely  $(r, t) = \text{sgn}(t_j)(r_j, t_j)$ . If  $\gcd(r_j, t_j) = 1$  then  $\gcd(t_j, m) = 1$ .

2. If  $\frac{r}{t} \in \mathbb{Z}$  is a canonical form solution to

$$r \equiv tf \pmod{m} \quad \Leftrightarrow \quad rt^{-1} \equiv f \pmod{m}$$

with  $\deg(t) \leq \frac{m}{k}$ ,  $\deg(r) < k$  and  $\gcd(t, m) = 1$ , then

$$(r, t) = \text{sgn}(t_j)(r_j, t_j) \quad \text{or} \quad (r, t) = \text{sgn}(t_j^*)(r_j^*, t_j^*).$$

3. There is a solution as in 2 iff  $\gcd(r_j, t_j) = 1$

$$\text{or } (\gcd(r_j^*, t_j^*) = 1 \text{ and } |t_j^*| \leq \frac{m}{k})$$

4. There is at most one solution as in 2 with  $|r| < \frac{k}{2}$ .

# Lecture 6: November 23, 2022



**Corollary PP-Cont** Let  $R$  be an UFD and let  $f, g \in R[x]$ . Then:

1.  $\text{pp}(fg) = \text{pp}(f) \text{pp}(g)$
2.  $\text{cont}(fg) = \text{cont}(f) \text{cont}(g)$

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**Corollary UFD-GCD** Let  $R$  be an UFD. Let  $f, g \in R[x]$  and define  $h = \text{gcd}_{R[x]}(f, g)$ . Then:

1. We can split gcd-calculation problem by

$$h = \text{gcd}_R(\text{cont}(f), \text{cont}(g)) \cdot \text{gcd}_{R[x]}(\text{pp}(f), \text{pp}(g))$$

In particular,  $h$  is primitive if  $f$  or  $g$  are primitive.

2. We have

$$\frac{h}{\text{lc}(h)} = \text{gcd}_{\mathbb{K}[x]}(f, g)$$

in the quotient field  $\mathbb{K} = Q(R)$ .

### Algorithm GCD for $R[x]$

**Input:**  $f, g \in R[x]^*$  with UFD  $R$  and its quotient field  $\mathbb{K} = Q(R)$   
 where one can compute gcds in  $R$  and  $\mathbb{K}$  is computable.

**Output:**  $\gcd(f, g) \in R[x]$

1.  $\tilde{f} = \text{pp}(f)$ ,  $\tilde{c} = \text{cont}(f)$   
 $\tilde{g} = \text{pp}(g)$ ,  $\tilde{d} = \text{cont}(g)$
2. Compute the following gcds in  $R$ :  
 $a = \gcd_R(\tilde{c}, \tilde{d}) \in R$   
 $b = \gcd_R(\text{lc}(\tilde{f}), \text{lc}(\tilde{g})) \in R$
3. Call the Euclidean algorithm in  $\mathbb{K}[x]$  to get the monic polynomial  
 $v = \gcd_{\mathbb{K}[x]}(\tilde{f}, \tilde{g}) \in \mathbb{K}[x]$
4. return  $a \text{ pp}(bv)$

Remark: In step 1 (and most probably in step 3) we also utilize gcd computations in  $R$ .

As a consequence one obtains the following general statement.

**Corollary** Let  $\mathbb{E} = G[x_1, \dots, x_n]$  be a polynomial ring over a UFD  $G$ . Suppose that one can compute gcds in  $G$  and that the quotient field  $Q(G)$  is computable. Then one can compute gcds in  $\mathbb{E}$  and can carry out the field operations in  $Q(\mathbb{E})$ .

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**Proof.** By induction on the number  $n$  of variables.

- ▶ If  $n = 0$ , the corollary holds.
- ▶ Suppose that one can compute gcds in the UFD  $R = G[x_1, \dots, x_{n-1}]$  and that the field operations in  $Q(R)$  can be executed.

Then one can execute the algorithm above to compute gcds in  $\mathbb{E} = R[x_n]$ . In addition, one can carry out the field operations in  $Q(R[x_n]) = Q(\mathbb{E})$ ; note that one can even calculate reduced representations in  $Q(\mathbb{E})$ , i.e., the numerators and denominators in  $G[x_1, \dots, x_{n-1}, x_n]$  are co-prime.

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**Remark:** If  $G$  is a field, one obtains much more efficient algorithms; soon we will consider, e.g.,  $R = G[x, y]$  for a field  $G$ .

# Lecture 7: November 30, 2023

**Thm.** Let  $f, g \in \mathbb{F}[x]^*$  with  $n = \deg(f)$ ,  $m = \deg(g)$ . Then:

1.  $\gcd(f, g) = 1$  iff  $\phi$  is an isomorphism.
2. If  $\gcd(f, g) = 1$ , then the output

$$s_l \quad \text{and} \quad t_l$$

of the EEA is the unique solution in  $\mathbb{F}[x]_{<m} \times \mathbb{F}[x]_{<n}$  of  $\phi(s_l, t_l) = 1$ .



$$\begin{bmatrix} f_n \\ f_{n-1} \\ \vdots \\ \vdots \\ \vdots \\ f_0 \end{bmatrix}$$

$$\begin{bmatrix} f_n & 0 \\ f_{n-1} & f_n \\ \vdots & \vdots \\ \vdots & \vdots \\ \vdots & \vdots \\ \vdots & \vdots \\ f_0 & \vdots \\ & f_0 \end{bmatrix}$$



$$\begin{array}{c}
 \left. \begin{array}{c} m \\ \vdots \\ m \end{array} \right\} \left[ \begin{array}{ccc}
 \overbrace{\begin{array}{cc} f_n & 0 \end{array}}^m & & g_m \\
 f_{n-1} & f_n & g_{m-1} \\
 \vdots & \vdots & \vdots \\
 \vdots & \vdots & f_n & g_1 \\
 \vdots & \vdots & \vdots & g_0 \\
 f_0 & \vdots & \vdots & \vdots \\
 \vdots & f_0 & \vdots & \vdots \\
 \vdots & \vdots & f_0 & \vdots
 \end{array} \right]
 \end{array}$$

$$\left[ \begin{array}{cccc}
 & \overbrace{\hspace{1.5cm}}^m & & \\
 \underbrace{\left. \begin{array}{c} f_n \\ f_{n-1} \\ \vdots \\ \vdots \\ \vdots \\ f_0 \end{array} \right\}^m & \begin{array}{c} 0 \\ f_n \\ \vdots \\ \vdots \\ \vdots \\ f_0 \end{array} & \begin{array}{c} g_m \\ g_{m-1} \\ \vdots \\ g_1 \\ g_0 \end{array} & \begin{array}{c} g_m \\ g_m \\ \vdots \\ \vdots \\ g_1 \\ g_0 \end{array} \\
 \underbrace{\left. \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ f_0 \end{array} \right\}^n & \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ f_0 \end{array} & \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} & \begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \\
 & & & \end{array} \right]$$

$$\begin{array}{c}
 \left. \begin{array}{c} m \\ \vdots \\ n \end{array} \right\} \left[ \begin{array}{cc|cc}
 \overbrace{\hspace{2cm}}^m & & \overbrace{\hspace{2cm}}^n & \\
 f_n & 0 & g_m & \\
 f_{n-1} & f_n & g_{m-1} & g_m \\
 \vdots & \vdots & \vdots & \vdots \\
 \vdots & \vdots & g_1 & \vdots \\
 \vdots & \vdots & g_0 & g_1 \\
 f_0 & \vdots & g_0 & g_0 \\
 \vdots & f_0 & \vdots & \vdots \\
 \vdots & \vdots & \vdots & g_m \\
 \vdots & \vdots & \vdots & \vdots \\
 \vdots & \vdots & \vdots & g_0
 \end{array} \right]
 \end{array}$$

Let  $s = \sum_{k=0}^{m-1} a_k x^k$ ,  $t = \sum_{k=0}^{n-1} b_k x^k$ ,  $f = \sum_{k=0}^n f_k x^k$ ,  $g = \sum_{k=0}^m g_k x^k$ .

Then

$$s f + t g = h = \sum_{k=0}^{n+m-1} h_k x^k$$

$$\left[ \begin{array}{c} \underbrace{\hspace{10em}}_m \\ \left\{ \begin{array}{l} f_n \\ f_{n-1} \\ \vdots \\ f_0 \end{array} \right. \\ \left\{ \begin{array}{l} f_0 \\ \vdots \\ f_0 \end{array} \right. \\ n \end{array} \right] \left[ \begin{array}{c} \underbrace{\hspace{10em}}_n \\ \left\{ \begin{array}{l} g_m \\ g_{m-1} \\ \vdots \\ g_0 \end{array} \right. \\ \left\{ \begin{array}{l} g_1 \\ g_1 \\ g_0 \\ \vdots \\ g_0 \end{array} \right. \\ n \end{array} \right] \left[ \begin{array}{c} a_{m-1} \\ a_{m-2} \\ \vdots \\ a_0 \\ b_{n-1} \\ b_{n-2} \\ \vdots \\ b_0 \end{array} \right] = \left[ \begin{array}{c} \\ \\ \vdots \\ \\ \\ \\ \end{array} \right]$$

Let  $s = \sum_{k=0}^{m-1} a_k x^k$ ,  $t = \sum_{k=0}^{n-1} b_k x^k$ ,  $f = \sum_{k=0}^n f_k x^k$ ,  $g = \sum_{k=0}^m g_k x^k$ .

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$$\begin{array}{c}
 \underbrace{\hspace{10em}}_m \qquad \underbrace{\hspace{10em}}_{n+m-1} \\
 \left. \begin{array}{c} m \\ n \end{array} \right\} \left[ \begin{array}{cc}
 \begin{array}{ccc}
 f_n & 0 & \\
 f_{n-1} & f_n & \\
 \vdots & \vdots & \ddots \\
 & & f_n \\
 & & \vdots \\
 f_0 & & \\
 & & \vdots \\
 & & f_0
 \end{array} &
 \begin{array}{cc}
 g_m & \\
 g_{m-1} & g_m \\
 \vdots & \vdots \\
 g_1 & \\
 g_0 & g_1 \\
 & g_0 \\
 & \vdots \\
 & \ddots \\
 & \vdots \\
 & g_0
 \end{array}
 \end{array} \right]
 \begin{array}{c}
 \left[ \begin{array}{c}
 a_{m-1} \\
 a_{m-2} \\
 \vdots \\
 a_0 \\
 b_{n-1} \\
 b_{n-2} \\
 \vdots \\
 b_0
 \end{array} \right]
 \end{array}
 =
 \begin{array}{c}
 \left[ \begin{array}{c}
 h_{m+n-1} \\
 \vdots \\
 h_0
 \end{array} \right]
 \end{array}
 \end{array}$$



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$$\begin{array}{c}
 \overbrace{\hspace{10em}}^m \\
 \left\{ \begin{array}{c}
 f_n \quad 0 \\
 f_{n-1} \quad f_n \\
 \vdots \\
 f_0
 \end{array} \right.
 \end{array}
 \quad
 \begin{array}{c}
 \overbrace{\hspace{10em}}^{\updownarrow n} \\
 \left\{ \begin{array}{c}
 g_m \quad g_m \\
 g_{m-1} \quad g_m \\
 \vdots \\
 g_1 \quad g_1 \\
 g_0 \quad g_1 \\
 \vdots \\
 g_0
 \end{array} \right.
 \end{array}
 \quad
 \begin{array}{c}
 \left[ \begin{array}{c}
 a_{m-1} \\
 a_{m-2} \\
 \vdots \\
 a_0 \\
 b_{n-1} \\
 b_{n-2} \\
 \vdots \\
 b_0
 \end{array} \right]
 \end{array}
 =
 \begin{array}{c}
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 h_{m+n-1} \\
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 \vdots \\
 h_0
 \end{array} \right]
 \end{array}$$

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 \left. \begin{array}{c} m \\ n \end{array} \right\} \left[ \begin{array}{c} \overbrace{\begin{array}{cc} f_n & 0 \\ f_{n-1} & f_n \\ \vdots & \vdots \\ f_0 & \end{array}}^m & \overbrace{\begin{array}{cc} g_m & \\ g_{m-1} & g_m \\ \vdots & \vdots \\ g_1 & \\ g_0 & \\ & g_1 \\ & g_0 \\ & \ddots \end{array}}^n \\
 \vdots & \vdots \end{array} \right] \begin{array}{c} [a_{m-1}] \\ [a_{m-2}] \\ \vdots \\ [a_0] \\ [b_{n-1}] \\ [b_{n-2}] \\ \vdots \\ [b_0] \end{array} = \begin{array}{c} [h_{m+n-1}] \\ [h_{m+n-2}] \\ \vdots \\ [h_0] \end{array}
 \end{array}$$

Let  $s = \sum_{k=0}^{m-1} a_k x^k$ ,  $t = \sum_{k=0}^{n-1} b_k x^k$ ,  $f = \sum_{k=0}^n f_k x^k$ ,  $g = \sum_{k=0}^m g_k x^k$ .

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 \begin{array}{c} m \\ n \end{array} \left\{ \begin{array}{c} \left[ \begin{array}{cc} f_n & 0 \\ f_{n-1} & f_n \\ \vdots & \vdots \\ f_0 & f_0 \end{array} \right] \left[ \begin{array}{cc} g_m & \\ g_{m-1} & g_m \\ \vdots & \vdots \\ g_1 & \\ g_0 & g_1 \\ & g_0 \end{array} \right] \\ \underbrace{\hspace{10em}}_{n+m-1} \\ \left[ \begin{array}{c} a_{m-1} \\ a_{m-2} \\ \vdots \\ a_0 \\ b_{n-1} \\ b_{n-2} \\ \vdots \\ b_0 \end{array} \right] \end{array} \right\} = \left[ \begin{array}{c} h_{m+n-1} \\ h_{m+n-2} \\ \vdots \\ h_0 \end{array} \right]
 \end{array}$$

$=: \text{Syl}(f, g)$

**Thm.** Let  $f, g \in \mathbb{F}[x]^*$  with  $n = \deg(f)$ ,  $m = \deg(g)$ . Then:

1.  $\gcd(f, g) = 1$  iff  $\phi$  is an isomorphism.
2. If  $\gcd(f, g) = 1$ , then the output

$$s_l \quad \text{and} \quad t_l$$

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**Corollary  $\mathbb{F}[x]$ -res.** Let  $f, g \in \mathbb{F}[x]^*$  with  $n = \deg(f)$ ,  $m = \deg(g)$ . Then:

1.  $\gcd(f, g) = 1$  iff  $\det(S) \neq 0$ .
2. If  $\gcd(f, g) = 1$  and the  $a_i, b_i$  are a solution of the system above, then

$$s_l = \sum_{k=0}^{m-1} a_k x^k \qquad \text{and} \qquad t_l = \sum_{k=0}^{n-1} b_k x^k$$

are the Bezout coefficients in  $s_l f + t_l g = 1$  also produced by the EEA.

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**Corollary UFD-res.** Let  $R$  be an UFD,  $f, g \in R[x]$ , not both zero. Then:

$$\gcd(f, g) \in R[x] \setminus R \quad \Leftrightarrow \quad \text{res}(f, g) = 0 \text{ in } R.$$

## 1. Coefficients bounds in $\mathbb{F}[y]$

**Theorem.** Let  $f, g \in \mathbb{F}[x, y]$  with  $n = \deg_x(f)$  and  $m = \deg_x(g)$  and  $\deg_y(f), \deg_y(g) \leq d$ . Then

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For  $f = \sum_{n=0}^d f_n x^n \in \mathbb{C}[x]$  define the 2-norm

$$\|f\|_2 = \left( \sum_{n=0}^d |f_n|^2 \right)^{1/2}, \quad |a| = (a \cdot \bar{a})^{1/2} \in \mathbb{R}$$

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**Theorem.** Let  $f, g \in \mathbb{Z}[x]$  with  $n = \deg(f)$  and  $m = \deg(g)$ . Then

$$|\operatorname{res}_x(f, g)| \leq \|f\|_2^m \|g\|_2^n \leq (n + 1)^{m/2} (m + 1)^{n/2} \|f\|_\infty^m \|g\|_\infty^n.$$

**Lemma.** Let  $f, g \in R[x]^*$  and  $I$  be an ideal in  $R$  with  $I \neq R$ . Suppose that<sup>1</sup>  $\overline{\text{lc}(f)} \in R/I$  is not a zero-divisor. Then:

$$1. \quad \overline{\text{res}(f, g)} = \bar{0} \quad \Leftrightarrow \quad \text{res}(\bar{f}, \bar{g}) = \bar{0}.$$

2. If  $R/I$  is a UFD then

$$\overline{\text{res}(f, g)} = \bar{0} \quad \Leftrightarrow \quad \text{gcd}(\bar{f}, \bar{g}) \notin R/I.$$

**Proof.** (1) will be settled as a homework.

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<sup>1</sup>Note that  $\deg(f) = \deg(\bar{f})$  and thus  $\overline{\text{lc}(f)} = \text{lc}(\bar{f})$  does not hold in general.

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$$\overline{\text{res}(f, g)} = \bar{0} \quad \stackrel{(1)}{\Leftrightarrow} \quad \text{res}(\bar{f}, \bar{g}) = \bar{0}$$

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$$\begin{array}{ccc} \overline{\text{res}(f, g)} = \bar{0} & \stackrel{(1)}{\Leftrightarrow} & \text{res}(\bar{f}, \bar{g}) = \bar{0} \\ & \text{Cor. UFD-res} & \Leftrightarrow \\ & & \text{gcd}(\bar{f}, \bar{g}) \notin R/I. \end{array}$$

---

<sup>1</sup>Note that  $\deg(f) = \deg(\bar{f})$  and thus  $\overline{\text{lc}(f)} = \text{lc}(\bar{f})$  does not hold in general.

**GCD-Theorem.** Let  $R$  be an ED,  $f, g \in R[x]^*$  and  $p \in R$  be prime with  $p \nmid \gcd_R(\text{lc}(f), \text{lc}(g))$ ; let  $\mathbb{F} = R/\langle p \rangle$  be its quotient field. Then:

(i)  $\text{lc}(\gcd_{R[x]}(f, g)) \mid \gcd_R(\text{lc}(f), \text{lc}(g))$ .

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- (i)  $\text{lc}(\gcd_{R[x]}(f, g)) \mid \gcd_R(\text{lc}(f), \text{lc}(g))$ .
- (ii)  $\deg(\gcd_{\mathbb{F}[x]}(\bar{f}, \bar{g})) \geq \deg(\gcd_{R[x]}(f, g))$ .

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(ii)  $\deg(\gcd_{\mathbb{F}[x]}(\bar{f}, \bar{g})) \geq \deg(\gcd_{R[x]}(f, g))$ .

(iii)

$$\deg(\gcd_{\mathbb{F}[x]}(\bar{f}, \bar{g})) = \deg(\gcd_{R[x]}(f, g))$$

$$\Downarrow_1$$

$$\overline{\text{lc}(\gcd_{R[x]}(f, g))} \cdot \gcd_{\mathbb{F}[x]}(\bar{f}, \bar{g}) = \overline{\gcd_{R[x]}(f, g)}$$

$$\Downarrow_2$$

$$p \nmid_R \text{res}\left(\frac{f}{\gcd_{R[x]}(f, g)}, \frac{g}{\gcd_{R[x]}(f, g)}\right)$$

# Lecture 9: November 14, 2023



**Algorithm modGCD** for  $\mathbb{F}[x, y]$  (big prime version)

**Input:** primitive  $f, g \in \mathbb{F}[x, y] = R[x]$  with  $R = \mathbb{F}[y]$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $\deg_y(f), \deg_y(g) \leq d$  for some  $d \in \mathbb{N}$ .

**Output:**  $h = \gcd(f, g) \in \mathbb{F}[x, y]$

1. Compute  $b := \gcd_{\mathbb{F}[y]}(\text{lc}_x(f), \text{lc}_x(g)) \in \mathbb{F}[y]$  and set  $\ell = d + 1 + \deg_y(b)$
2. repeat
3. choose a random monic irreducible  $p \in \mathbb{F}[y]$  with  $\deg_y(p) = \ell$
4. call the EEA for  $\bar{f}, \bar{g} \in \mathbb{E}[x]$  over the field  $\mathbb{E} = \mathbb{F}[y]/\langle p \rangle$  to get the monic  $v \in R[x]$  with  $\deg_y(v) < \ell$  such that  $\bar{v} = \gcd(\bar{f}, \bar{g}) \in \mathbb{E}[x]$ .
5. Compute  $w, f^*, g^* \in R[x]$  with  $\deg_y(w), \deg_y(f^*), \deg_y(g^*) < \ell$  where

$$\bar{w} = \bar{b}\bar{v}, \quad \bar{f}^* = \frac{\bar{f}}{\bar{v}}, \quad \bar{g}^* = \frac{\bar{g}}{\bar{v}}$$

6. until  $\deg_y(f^*w) = \deg_y(bf)$  and  $\deg_y(g^*w) = \deg_y(bg)$
7. return  $\text{pp}_x(w)$

**Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let

$h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $\deg(r) \leq 2nd$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $d \geq \deg_y(f), \deg_y(g)$ .
2.  $p \nmid_R r$  if and only if the halting condition holds.
3. If  $p \nmid_R r$  then  $h = \text{pp}_x(w)$ .

For  $f = \sum_{n=0}^d f_n x^n \in \mathbb{C}[x]$  define the  $q$ -norm ( $q \in \mathbb{N}^*$ )

$$\|f\|_q = \left( \sum_{n=0}^d |f_n|^q \right)^{1/q}, \quad |a| = (a \cdot \bar{a})^{1/2} \in \mathbb{R}$$

and the max-norm

$$\|f\|_\infty = \max\{|f_n| : 0 \leq n \leq d\}.$$

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Note (Ex. 18):

$$\|f\|_\infty \leq \|f\|_2 \leq (n+1)^{1/2} \|f\|_\infty$$

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**Theorem Mignotte.** Let  $f, g, h \in \mathbb{Z}[x]$  with  $\deg(f) = n$ ,  $\deg(g) = m$  and  $\deg(h) = k$ . Suppose that  $gh \mid f$ . Then

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For  $f = \sum_{n=0}^d f_n x^n \in \mathbb{C}[x]$  define the  $q$ -norm ( $q \in \mathbb{N}^*$ )

$$\|f\|_q = \left( \sum_{n=0}^d |f_n|^q \right)^{1/q}, \quad |a| = (a \cdot \bar{a})^{1/2} \in \mathbb{R}$$

and the max-norm

$$\|f\|_\infty = \max\{|f_n| : 0 \leq n \leq d\}.$$

Note (Ex. 18):

$$\|f\|_\infty \leq \|f\|_2 \leq (n+1)^{1/2} \|f\|_\infty$$

$$\|f\|_2 \leq \|f\|_1 \leq (n+1) \|f\|_\infty$$

**Theorem Mignotte.** Let  $f, g, h \in \mathbb{Z}[x]$  with  $\deg(f) = n$ ,  $\deg(g) = m$  and  $\deg(h) = k$ . Suppose that  $gh \mid f$ . Then

$$\|g\|_\infty \|h\|_\infty \leq \|g\|_2 \|h\|_2 \leq \|g\|_1 \|h\|_1 \stackrel{\text{Ex.}}{\leq} 2^{m+k} \|f\|_2 \leq (n+1)^{1/2} 2^{m+k} \|f\|_\infty$$

Special case ( $g = 1$ ):

$$\|h\|_\infty \leq \|h\|_2 \leq \|h\|_1 \leq 2^k \|f\|_2 \leq (n+1)^{1/2} 2^k \|f\|_\infty.$$

**Corollary.** Let  $f, g \in \mathbb{Z}[x]$  with  $n = \deg(f) \geq \deg(g) \geq 1$  and  $\|f\|_\infty, \|g\|_\infty \leq A$ . Then

$$\|\gcd(f, g)\|_\infty \leq (n + 1)^{1/2} 2^n A.$$

**Lemma.** Let  $f, g \in \mathbb{Z}[x]$  with  $\|f\|_\infty, \|g\|_\infty < \frac{p}{2}$ . Then

$$\bar{f} = \bar{g} \quad \Leftrightarrow \quad f = g.$$

Recall: **Algorithm modGCD** for  $\mathbb{F}[x, y]$  (big prime version)

**Input:** primitive  $f, g \in \mathbb{F}[x, y] = R[x]$  with  $R = \mathbb{F}[y]$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $\deg_y(f), \deg_y(g) \leq d$  for some  $d \in \mathbb{N}$ .

**Output:**  $h = \gcd(f, g) \in \mathbb{F}[x, y]$

1. Compute  $b := \gcd_{\mathbb{F}[y]}(\text{lc}_x(f), \text{lc}_x(g)) \in \mathbb{F}[y]$  and set  $\ell = d + 1 + \deg_y(b)$
2. repeat
3. choose a random monic irreducible  $p \in \mathbb{F}[y]$  with  $\deg_y(p) = \ell$
4. call the EEA for  $\bar{f}, \bar{g} \in \mathbb{E}[x]$  over the field  $\mathbb{E} = \mathbb{F}[y]/\langle p \rangle$  to get the monic  $v \in R[x]$  with  $\deg_y(v) < \ell$  such that  $\bar{v} = \gcd(\bar{f}, \bar{g}) \in \mathbb{E}[x]$ .
5. Compute  $w, f^*, g^* \in R[x]$  with  $\deg_y(w), \deg_y(f^*), \deg_y(g^*) < \ell$  where

$$\bar{w} = \bar{b}v, \quad \bar{f}^* = \frac{\bar{f}}{\bar{v}}, \quad \bar{g}^* = \frac{\bar{g}}{\bar{v}}$$

6. until  $\deg_y(f^*w) = \deg_y(bf)$  and  $\deg_y(g^*w) = \deg_y(bg)$
7. return  $\text{pp}_x(w)$

**Algorithm modGCD** for  $\mathbb{Z}[x]$  (big prime version)

**Input:** primitive  $f, g \in \mathbb{Z}[x]$  with  $n = \deg(f) \geq \deg(g) \geq 1$  and  $\|f\|_\infty, \|g\|_\infty \leq A$  for some  $A \in \mathbb{N}$ .

**Output:**  $h = \gcd(f, g) \in \mathbb{Z}[x]$

1. Compute  $b := \gcd_{\mathbb{Z}}(\text{lc}(f), \text{lc}(g))$  and set  $B = (n + 1)^{1/2} 2^n A b$
2. repeat
3. choose a random prime  $p$  with  $2B < p$
4. call the EEA for  $\bar{f}, \bar{g} \in \mathbb{Z}_p[x]$  over the finite field  $\mathbb{Z}_p$  to get the monic  $v \in R[x]$  with  $\|v\|_\infty < p/2$  such that  $\bar{v} = \gcd(\bar{f}, \bar{g}) \in \mathbb{Z}_p[x]$ .
5. Compute  $w, f^*, g^* \in \mathbb{Z}[x]$  with  $\|w\|_\infty, \|f^*\|_\infty, \|g^*\|_\infty < p/2$  where

$$\bar{w} = \bar{b} \bar{v}, \quad \bar{f}^* = \frac{\bar{f}}{\bar{v}}, \quad \bar{g}^* = \frac{\bar{g}}{\bar{v}}$$

6. until  $\|f^*\|_1 \|w\|_1 \leq B$  and  $\|g^*\|_1 \|w\|_1 \leq B$
7. return  $\text{pp}(w)$

Recall: **Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $\deg(r) \leq 2nd$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $d \geq \deg_y(f), \deg_y(g)$ .
2.  $p \nmid_R r$  if and only if the halting condition holds.
3. If  $p \nmid_R r$  then  $h = \text{pp}_x(w)$ .

**Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

Let  $w \in \mathbb{Z}[x]$  as calculated in the algorithm above after one loop. Then:

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<sup>2</sup>There is the improved version  $|r| \leq (n+1)^n A^{2n}$ .

Recall: **Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $\deg(r) \leq 2nd$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $d \geq \deg_y(f), \deg_y(g)$ .
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**Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

Let  $w \in \mathbb{Z}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $|r| \leq (n+1)^n A^{2n} 4^n$  where<sup>2</sup>  $n = \deg(f) \geq \deg(g) \geq 1$  and  $A \geq \|f\|_\infty, \|g\|_\infty$ .

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Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop. Then:

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**Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

Let  $w \in \mathbb{Z}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $|r| \leq (n+1)^n A^{2n} 4^n$  where<sup>2</sup>  $n = \deg(f) \geq \deg(g) \geq 1$  and  $A \geq \|f\|_\infty, \|g\|_\infty$ .
2.  $p \nmid_{\mathbb{Z}} r$  if and only if the halting condition holds.

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Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $\deg(r) \leq 2nd$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $d \geq \deg_y(f), \deg_y(g)$ .
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**Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

Let  $w \in \mathbb{Z}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $|r| \leq (n+1)^n A^{2n} 4^n$  where<sup>2</sup>  $n = \deg(f) \geq \deg(g) \geq 1$  and  $A \geq \|f\|_\infty, \|g\|_\infty$ .
2.  $p \nmid_{\mathbb{Z}} r$  if and only if the halting condition holds.
3. If  $p \nmid_{\mathbb{Z}} r$  then  $h = \text{pp}(w)$ .

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<sup>2</sup>There is the improved version  $|r| \leq (n+1)^n A^{2n}$ .



**Algorithm modGCD** for  $\mathbb{F}[x, y]$  (small prime version)

**Input:** primitive  $f, g \in \mathbb{F}[x, y] = R[x]$  with  $R = \mathbb{F}[y]$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $\deg_y(f), \deg_y(g) \leq d$  for some  $d \in \mathbb{N}$ .

**Output:**  $h = \gcd(f, g) \in \mathbb{F}[x, y]$

1. Compute  $b := \gcd_{\mathbb{F}[y]}(\text{lc}_x(f), \text{lc}_x(g)) \in \mathbb{F}[y]$  and set  $\ell = d + 1 + \deg_y(b)$
2. repeat
3. choose a set  $S \subseteq \mathbb{F}$  of  $\ell$  evaluation points  $u$  with  $b(u) \neq 0$ .
4. for each  $u \in S$  call the EEA to get  $v_u = \gcd_{\mathbb{F}[x]}(f(x, u), g(x, u))$
7. Compute by interpolation each coefficient in  $\mathbb{F}[y]$  of the polynomials  $w, f^*, g^* \in R[x]$  with  $\deg_y(w), \deg_y(f^*), \deg_y(g^*) < \ell$  such that for each  $u \in S$  we have
 
$$w(x, u) = b(u)v_u, \quad f^*(x, u) = \frac{f(x, u)}{v_u}, \quad g^*(x, u) = \frac{g(x, u)}{v_u}.$$
8. until  $\deg_y(f^*w) = \deg_y(bf)$  and  $\deg_y(g^*w) = \deg_y(bg)$
9. return  $\text{pp}_x(w)$

**Algorithm modGCD** for  $\mathbb{F}[x, y]$  (small prime version)

**Input:** primitive  $f, g \in \mathbb{F}[x, y] = R[x]$  with  $R = \mathbb{F}[y]$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $\deg_y(f), \deg_y(g) \leq d$  for some  $d \in \mathbb{N}$ .

**Output:**  $h = \gcd(f, g) \in \mathbb{F}[x, y]$

1. Compute  $b := \gcd_{\mathbb{F}[y]}(\text{lc}_x(f), \text{lc}_x(g)) \in \mathbb{F}[y]$  and set  $\ell = d + 1 + \deg_y(b)$
2. repeat
3. choose a set  $S \subseteq \mathbb{F}$  of  $2\ell$  evaluation points  $u$  with  $b(u) \neq 0$ .
4. for each  $u \in S$  call the EEA to get  $v_u = \gcd_{\mathbb{F}[x]}(f(x, u), g(x, u))$
7. Compute by interpolation each coefficient in  $\mathbb{F}[y]$  of the polynomials  $w, f^*, g^* \in R[x]$  with  $\deg_y(w), \deg_y(f^*), \deg_y(g^*) < \ell$  such that for each  $u \in S$  we have
 
$$w(x, u) = b(u)v_u, \quad f^*(x, u) = \frac{f(x, u)}{v_u}, \quad g^*(x, u) = \frac{g(x, u)}{v_u}.$$
8. until  $\deg_y(f^*w) = \deg_y(bf)$  and  $\deg_y(g^*w) = \deg_y(bg)$
9. return  $\text{pp}_x(w)$

### Algorithm modGCD for $\mathbb{F}[x, y]$ (small prime version)

**Input:** primitive  $f, g \in \mathbb{F}[x, y] = R[x]$  with  $R = \mathbb{F}[y]$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $\deg_y(f), \deg_y(g) \leq d$  for some  $d \in \mathbb{N}$ .

**Output:**  $h = \gcd(f, g) \in \mathbb{F}[x, y]$

1. Compute  $b := \gcd_{\mathbb{F}[y]}(\text{lc}_x(f), \text{lc}_x(g)) \in \mathbb{F}[y]$  and set  $\ell = d + 1 + \deg_y(b)$
2. repeat
3. choose a set  $S \subseteq \mathbb{F}$  of  $2\ell$  evaluation points  $u$  with  $b(u) \neq 0$ .
4. for each  $u \in S$  call the EEA to get  $v_u = \gcd_{\mathbb{F}[x]}(f(x, u), g(x, u))$
5.  $\lambda = \min\{\deg(v_u) \mid u \in S\}$  and refine  $S := \{u \in S \mid \deg(v_u) = \lambda\}$
6. if  $|S| \geq \ell$  then remove  $|S| - \ell$  points from  $S$  else goto 3.
7. Compute by interpolation each coefficient in  $\mathbb{F}[y]$  of the polynomials  $w, f^*, g^* \in R[x]$  with  $\deg_y(w), \deg_y(f^*), \deg_y(g^*) < \ell$  such that for each  $u \in S$  we have

$$w(x, u) = b(u)v_u, \quad f^*(x, u) = \frac{f(x, u)}{v_u}, \quad g^*(x, u) = \frac{g(x, u)}{v_u}.$$

8. until  $\deg_y(f^*w) = \deg_y(bf)$  and  $\deg_y(g^*w) = \deg_y(bg)$
9. return  $\text{pp}_x(w)$

Recall: **Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $\deg(r) \leq 2nd$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $d \geq \deg_y(f), \deg_y(g)$ .
2.  $p \nmid_R r$  if and only if the halting condition holds.
3. If  $p \nmid_R r$  then  $h = \text{pp}_x(w)$ .

**Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop using the  $\ell$  given points from  $S$ . Then:

Recall: **Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

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**Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop using the  $\ell$  given points from  $S$ . Then:

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Recall: **Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

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**Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop using the  $\ell$  given points from  $S$ . Then:

1.  $\deg(r) \leq 2nd$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $d \geq \deg_y(f), \deg_y(g)$ .
2.  $r(s) \neq 0$  for all  $s \in S$  if and only if the halting condition holds.

Recall: **Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $\deg(r) \leq 2nd$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $d \geq \deg_y(f), \deg_y(g)$ .
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**Theorem.** Let  $f, g \in R[x]$  be primitive where  $R = \mathbb{F}[y]$ . Let  $h = \gcd_{R[x]}(f, g)$  and  $r = \text{res}_x(f/h, g/h) \in R$ .

Let  $w \in \mathbb{F}[x]$  as calculated in the algorithm above after one loop using the  $\ell$  given points from  $S$ . Then:

1.  $\deg(r) \leq 2nd$  where  $n = \deg_x(f) \geq \deg_x(g) \geq 1$  and  $d \geq \deg_y(f), \deg_y(g)$ .
2.  $r(s) \neq 0$  for all  $s \in S$  if and only if the halting condition holds.
3. If  $r(s) \neq 0$  for all  $s \in S$  then  $h = \text{pp}_x(w)$ .

**Algorithm modGCD** for  $\mathbb{Z}[x]$  (small prime version)

**Input:** primitive  $f, g \in \mathbb{Z}[x]$  with  $n = \deg(f) \geq \deg(g) \geq 1$   
 and  $\|f\|_\infty, \|g\|_\infty \leq A$  for some  $A \in \mathbb{N}$ .

**Output:**  $h = \gcd(f, g) \in \mathbb{Z}[x]$

1. Compute  $b := \gcd_{\mathbb{Z}}(\text{lc}(f), \text{lc}(g))$  and set  $B = (n + 1)^{1/2} 2^n A b$ .  
 Take  $\ell = \log_2(2B + 1)$
2. repeat
3. choose a set  $S$  of  $2\ell$  primes  $p$  with  $p \nmid b$ .
4. for each  $p \in S$  call the EEA to get the monic  $v_p \in \mathbb{Z}[x]$  where the coefficients are from  $\{0, \dots, p - 1\}$  with  $\bar{v}_p = \gcd_{\mathbb{Z}_p[x]}(\bar{f}, \bar{g})$
5.  $\lambda = \min\{\deg(v_p) \mid p \in S\}$  and refine  $S := \{p \in S \mid \deg(v_p) = \lambda\}$
6. if  $|S| \geq \ell$  then remove  $|S| - \ell$  points from  $S$  else goto 3.
7. Compute by CRA the coefficients of the polynomials  $w, f^*, g^* \in \mathbb{Z}[x]$  with  $\|w\|_\infty, \|f^*\|_\infty, \|g^*\|_\infty < (\prod_{p \in S} p)/2$  s.t. for each  $p \in S$  we have
 
$$\bar{w} = \overline{b v_p}, \quad \bar{f}^* = \frac{\bar{f}}{\bar{v}_p}, \quad \bar{g}^* = \frac{\bar{g}}{\bar{v}_p} \quad (\text{reduction mod } p)$$
8. until  $\|f^*\|_1 \|w\|_1 \leq B$  and  $\|g^*\|_1 \|w\|_1 \leq B$
9. return  $\text{pp}(w)$



Recall: **Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

Let  $w \in \mathbb{Z}[x]$  as calculated in the algorithm above after one loop. Then:

1.  $|r| \leq (n+1)^n A^{2n}$  where  $n = \deg(f) \geq \deg(g) \geq 1$  and  $A \geq \|f\|_\infty, \|g\|_\infty$ .
2.  $p \nmid_{\mathbb{Z}} r$  if and only if the halting condition holds.
3. If  $p \nmid_{\mathbb{Z}} r$  then  $h = \text{pp}(w)$ .

**Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

Let  $w \in \mathbb{Z}[x]$  as calculated in the algorithm above after one loop using the  $\ell$  primes given in  $S$ . Then:

Recall: **Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

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**Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

Let  $w \in \mathbb{Z}[x]$  as calculated in the algorithm above after one loop using the  $\ell$  primes given in  $S$ . Then:

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Recall: **Theorem.** Let  $f, g \in \mathbb{Z}[x]$  be primitive. Let  $h = \gcd_{\mathbb{Z}[x]}(f, g)$  and  $r = \text{res}(f/h, g/h) \in \mathbb{Z}$ ; note that  $\text{lc}(h) > 0$ .

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# Lecture 8: December 7, 2023

**Definition.** A monomial order on  $\mathbb{F}[\mathbf{x}]$  is a relation  $<$  on  $\mathbb{N}^n$  such that

1.  $<$  is a total order;
2. For all  $\alpha, \beta, \gamma \in \mathbb{N}^n$ :

$$\alpha < \beta \quad \Rightarrow \quad \alpha + \gamma < \beta + \gamma$$

3.  $<$  is well-ordered, i.e.,

$$\forall S \subseteq \mathbb{N}^n \exists m \in S \forall s \in S : m \leq s$$



$$\forall s \in \mathbb{N}^n : s \geq \mathbf{0}.$$

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$$\forall s \in \mathbb{N}^n : s \geq \mathbf{0}.$$

**Lemma Deg.** Let  $<$  be a monomial order on  $\mathbb{F}[\mathbf{x}]$  and  $f, g \in \mathbb{F}[\mathbf{x}]^*$ . Then:

1.  $\deg(fg) = \deg(f) + \deg(g)$ ;
2. If  $f + g \neq 0$  then

$$\deg(f + g) \leq \max(\deg(f), \deg(g));$$

equality holds if  $\deg(f) \neq \deg(g)$ .

## Algorithm PolynomialReduce

**Input:**  $f, g_1, \dots, g_s \in \mathbb{F}[x_1, \dots, x_n] =: R$  with a monomial order  $<$ .

**Output:**  $r, q_1, \dots, q_s \in R$  with  $f = r + q_1 g_1 + \dots + q_s g_s$

1.  $r = 0, p = f, q_i = 0$  for  $1 \leq i \leq s$
2. while  $p \neq 0$  do
3.   if  $\text{lt}(g_i) \mid \text{lt}(p)$  for some  $1 \leq i \leq s$  then
4.     choose such an  $i$  and set  $q_i = q_i + \frac{\text{lt}(p)}{\text{lt}(g_i)}$   

$$p = p - \frac{\text{lt}(p)}{\text{lt}(g_i)} g_i$$
5.   else
6.      $r = r + \text{lt}(p), p = p - \text{lt}(p)$
7.   fi
8. od
9. return  $q_1, \dots, q_s, r$

**Remark:** If  $s = n = 1$  then  $q_1 = \text{quot}(f, g_1)$  and  $r = \text{rem}(f, g_1)$



## Algorithm PolynomialReduce

**Input:**  $f, g_1, \dots, g_s \in \mathbb{F}[x_1, \dots, x_n] =: R$  with a monomial order  $<$ .

**Output:**  $r, q_1, \dots, q_s \in R$  with  $f = r + q_1 g_1 + \dots + q_s g_s$  where no monomial in  $r$  is divisible by  $\text{lt}(g_i)$  for all  $1 \leq i \leq s$ .

1.  $r = 0, p = f, q_i = 0$  for  $1 \leq i \leq s$
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**Remark:** If  $s = n = 1$  then  $q_1 = \text{quot}(f, g_1)$  and  $r = \text{rem}(f, g_1)$

**Lemma Mon.** Let  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$  that is generated by a set  $M$  of monomials, and let  $h$  be a monomial. Then:

$$h \in I \quad \Leftrightarrow \quad \exists m \in M : m \mid h.$$

# Lecture 11: January 11, 2024

**Definition.** Let  $I \subseteq \mathbb{F}[\mathbf{x}]$ ,  $G \subseteq I$  finite,  $<$  monomial order.

$$\langle \text{LT}(I) \rangle = \langle \text{LT}(G) \rangle \quad \Leftrightarrow: \quad G \text{ is a GB of } I$$

**Proposition Red.** Let  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$ ,  $G \subseteq I$  finite,  $<$  monomial order. Then:

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$$\Updownarrow$$

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**Lemma.** Let  $G$  be a GB for  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$  w.r.t.  $<$  and  $f \in \mathbb{F}[\mathbf{x}]$ .

Then there is a unique  $r \in \mathbb{F}[\mathbf{x}]$ :

1.  $f - r \in I$ ;
2. no term of  $r$  is divisible by any monomial in  $\text{LT}(G)$ .

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**Notation:** For  $G \subseteq \mathbb{F}[\mathbf{x}]$  finite,  $f \in \mathbb{F}[\mathbf{x}]$ ,

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**Lemma Red.** Let  $G$  be a GB for  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$  w.r.t.  $<$ . Then

$$\forall f \in \mathbb{F}[\mathbf{x}] : f \in I \iff f \text{ rem } G = 0. \quad (*)$$



**Proposition Red.** Let  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$ ,  $G \subseteq I$  finite,  $<$  monomial order. Then:

$$\langle \text{LT}(I) \rangle = \langle \text{LT}(G) \rangle \quad (\text{i.e., } G \text{ is a GB of } I)$$



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**Lemma.** Let  $G$  be a GB for  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$  w.r.t.  $<$  and  $f \in \mathbb{F}[\mathbf{x}]$ .

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$$G \text{ is a GB of } I \iff \text{property } (*) \text{ holds.}$$

**Lemma LT.** Define

$$f = \sum_{i=1}^s c_i \mathbf{x}^{\alpha_i} g_i \in \mathbb{F}[\mathbf{x}]$$

$$g_1, \dots, g_s \in \mathbb{F}[\mathbf{x}]$$

$$\alpha_1, \dots, \alpha_s \in \mathbb{N}^n$$

$$c_1, \dots, c_s \in \mathbb{F}^*$$

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with the following extra properties.

1. There is  $\delta \in \mathbb{N}^n$  such that for all  $1 \leq i \leq n$ :

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Then for  $\gamma_{i,j} \in \mathbb{N}^n$  with  $\mathbf{x}^{\gamma_{i,j}} = \text{lcm}(\text{lm}(g_i), \text{lm}(g_j))$  with  $1 \leq i < j \leq s$ :

- (a)  $\delta - \gamma_{i,j} \in \mathbb{N}^n$ , i.e.,  $\mathbf{x}^{\delta - \gamma_{i,j}} \in \mathbb{F}[\mathbf{x}]$

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- (a)  $\delta - \gamma_{i,j} \in \mathbb{N}^n$ , i.e.,  $\mathbf{x}^{\delta - \gamma_{i,j}} \in \mathbb{F}[\mathbf{x}]$

- (b)  $\deg(\mathbf{x}^{\delta - \gamma_{i,j}} S(g_i, g_j)) < \delta$

**Lemma LT.** Define

$$f = \sum_{i=1}^s c_i \mathbf{x}^{\alpha_i} g_i \in \mathbb{F}[\mathbf{x}]$$

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- (b)  $\deg(\mathbf{x}^{\delta - \gamma_{i,j}} S(g_i, g_j)) < \delta$

- (c) There exist  $c_{i,j} \in \mathbb{F}$  such that

$$f = \sum_{1 \leq i < j \leq s} c_{i,j} \mathbf{x}^{\delta - \gamma_{i,j}} S(g_i, g_j)$$

# Lecture 11: January 18, 2024



### Algorithm GetGroebnerBasis (Buchberger's algorithm)

**Input:**  $f_1, \dots, f_s \in \mathbb{F}[x_1, \dots, x_n]$  with a monomial order  $<$ .

**Output:** A Gröbner basis  $G$  of  $\langle f_1, \dots, f_s \rangle$  w.r.t.  $<$ .

1. Set  $G = \{f_1, \dots, f_s\}$
2. repeat do
3.    $S = \{\}$   
     (\*let  $G = \{g_1, \dots, g_\sigma\}$ \*)
4.   for all  $i, j$  with  $1 \leq i < j < \sigma$  do
5.      $r = \text{PolynomialReduce}(S(g_i, g_j), G) = S(g_i, g_j) \text{ rem } G$
6.     if  $r \neq 0$  then  $S = S \cup \{r\}$  fi
7.   od
8.   if  $S = \{\}$  then return  $G$  fi
9.    $G = G \cup S$
10. od
11. return  $G$

**Definition.** Let  $G$  be a GB of  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$  w.r.t.  $<$ .  $G$  is called reduced iff

1.  $\text{lc}(g) = 1$  for all  $g \in G$ .
2. for all  $g \in G$  no monomial of  $g$  lies in  $\langle \text{LT}(G \setminus \{g\}) \rangle$ .

### **Theorem ReducedGB.**

There is an algorithm which computes for a given GB  $G$  of  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$  w.r.t.  $<$  a reduced GB  $G'$  of  $I$  w.r.t.  $<$ .

### **Theorem UniqueGB.**

Let  $G_1$  and  $G_2$  be two reduced GB of  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$  w.r.t.  $<$ . Then  $G_1 = G_2$ .

# Lecture 12: January 25, 2024

**Theorem-Summary.**  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$ ,  $G = \{g_1, \dots, g_s\} \subseteq I$ ,  $<$  monomial order. Then the following statements are equivalent.

1.  $\langle \text{LT}(I) \rangle = \langle \text{LT}(G) \rangle$ , i.e.,  $G$  is a GB of  $I$

**Theorem-Summary.**  $I \subseteq \mathbb{F}[\mathbf{x}]$ ,  $G = \{g_1, \dots, g_s\} \subseteq I$ ,  $<$  monomial order.  
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2.  $\forall p \in I \exists g \in G : \text{lt}(g) \mid \text{lt}(p)$ .

**Theorem-Summary.**  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$ ,  $G = \{g_1, \dots, g_s\} \subseteq I$ ,  $<$  monomial order. Then the following statements are equivalent.

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2.  $\forall p \in I \exists g \in G : \text{lt}(g) \mid \text{lt}(p)$ .
3.  $\forall f \in \mathbb{F}[\mathbf{x}]$ :  
$$f \in I \iff f \text{ rem } G = 0.$$

**Theorem-Summary.**  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$ ,  $G = \{g_1, \dots, g_s\} \subseteq I$ ,  $<$  monomial order. Then the following statements are equivalent.

1.  $\langle \text{LT}(I) \rangle = \langle \text{LT}(G) \rangle$ , i.e.,  $G$  is a GB of  $I$

2.  $\forall p \in I \exists g \in G : \text{lt}(g) \mid \text{lt}(p)$ .

3.  $\forall f \in \mathbb{F}[\mathbf{x}]$ :

$$f \in I \iff f \text{ rem } G = 0.$$

4. PolynomialReduce implements a function, i.e., for each input there is a unique output.

(“don’t care nondeterministic”  $\rightarrow$  “don’t know nondeterministic”)

**Theorem-Summary.**  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$ ,  $G = \{g_1, \dots, g_s\} \subseteq I$ ,  $<$  monomial order. Then the following statements are equivalent.

1.  $\langle \text{LT}(I) \rangle = \langle \text{LT}(G) \rangle$ , i.e.,  $G$  is a GB of  $I$

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5.  $\forall 1 \leq i < j \leq s : S(g_i, g_j) \text{ rem } G = 0$



**Theorem-Summary.**  $I \trianglelefteq \mathbb{F}[\mathbf{x}]$ ,  $G = \{g_1, \dots, g_s\} \subseteq I$ ,  $<$  monomial order. Then the following statements are equivalent.

1.  $\langle \text{LT}(I) \rangle = \langle \text{LT}(G) \rangle$ , i.e.,  $G$  is a GB of  $I$

2.  $\forall p \in I \exists g \in G : \text{lt}(g) \mid \text{lt}(p)$ .

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5.  $\forall 1 \leq i < j \leq s : S(g_i, g_j) \text{ rem } G = 0$

6.  $B = \{b + I \mid b \in \hat{B}\}$  forms a basis of the  $\mathbb{F}$ -vector space  $\mathbb{F}[\mathbf{x}]/I$  with

$$\hat{B} = \{m \in [\mathbf{x}] \mid m \text{ rem } G = m\}.$$

# Applications

1. Computation in the quotient ring  $R = \mathbb{F}[\mathbf{x}]/I$
2. Ideal membership
3. Test ideal equality
4. Elimination property
5. Finding zeros
6. Radical ideal membership
7. Ideal operations (and the corresponding operations of varieties)
  - (a) sum of ideals
  - (b) product of ideals
  - (c) intersection of ideals

