

*Introduction to Logic Programming*  
*Foundations, First-Order Language*

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# What is a Logic Program

- ▶ Logic program is a set of certain formulas of a first-order language.
- ▶ In this lecture: syntax and semantics of a first-order language.

## Introductory Examples

- ▶ Representing “John loves Mary”:  $loves(John, Mary)$ .
- ▶  $loves$ : a binary predicate (relation) symbol.
- ▶ Intended meaning: The object in the first argument of  $loves$  loves the object in its second argument.
- ▶  $John, Mary$ : constants.
- ▶ Intended meaning: To denote persons John and Mary, respectively.

# Introductory Examples

- ▶ *father*: A unary function symbol.
- ▶ Intended meaning: The father of the object in its argument.
- ▶ John's father loves John:  $loves(father(John), John)$ .

# First-Order Language

- ▶ Syntax
- ▶ Semantics

# Syntax

- ▶ Alphabet
- ▶ Terms
- ▶ Formulas

# Alphabet

A first-order alphabet consists of the following disjoint sets of symbols:

- ▶ A countable set of variables  $\mathcal{V}$ .
- ▶ For each  $n \geq 0$ , a set of  $n$ -ary function symbols  $\mathcal{F}^n$ . Elements of  $\mathcal{F}^0$  are called constants.
- ▶ For each  $n \geq 0$ , a set of  $n$ -ary predicate symbols  $\mathcal{P}^n$ .
- ▶ Logical connectives  $\neg, \vee, \wedge, \Rightarrow, \Leftrightarrow$ .
- ▶ Quantifiers  $\exists, \forall$ .
- ▶ Parenthesis  $(, )$ , and comma  $,$ .

Notation:

- ▶  $x, y, z$  for variables.
- ▶  $f, g$  for function symbols.
- ▶  $a, b, c$  for constants.
- ▶  $p, q$  for predicate symbols.

# Terms

## Definition

- ▶ A variable is a term.
- ▶ If  $t_1, \dots, t_n$  are terms and  $f \in \mathcal{F}^n$ , then  $f(t_1, \dots, t_n)$  is a term.
- ▶ Nothing else is a term.

## Notation:

- ▶  $s, t, r$  for terms.

## Example

- ▶  $plus(plus(x, 1), x)$  is a term, where  $plus$  is a binary function symbol, 1 is a constant,  $x$  is a variable.
- ▶  $father(father(John))$  is a term, where  $father$  is a unary function symbol and  $John$  is a constant.



# Formulas

## Definition

- ▶ If  $t_1, \dots, t_n$  are terms and  $p \in \mathcal{P}^n$ , then  $p(t_1, \dots, t_n)$  is a formula. It is called an **atomic formula**.
- ▶ If  $A$  is a formula,  $(\neg A)$  is a formula.
- ▶ If  $A$  and  $B$  are formulas, then  $(A \vee B)$ ,  $(A \wedge B)$ ,  $(A \Rightarrow B)$ , and  $(A \Leftrightarrow B)$  are formulas.
- ▶ If  $A$  is a formula, then  $(\exists x.A)$  and  $(\forall x.A)$  are formulas.
- ▶ Nothing else is a formula.

## Notation:

- ▶  $A, B$  for formulas.

# Eliminating Parentheses

- ▶ Excessive use of parentheses often can be avoided by introducing **binding order**.
- ▶  $\neg, \forall, \exists$  bind stronger than  $\vee$ .
- ▶  $\vee$  binds stronger than  $\wedge$ .
- ▶  $\wedge$  binds stronger than  $\Rightarrow$  and  $\Leftrightarrow$ .
- ▶ Furthermore, omit the outer parentheses and associate  $\vee, \wedge, \Rightarrow, \Leftrightarrow$  to the right.

# Eliminating Parentheses

## Example

The formula

$$(\forall y.(\forall x.((p(x)) \wedge (\neg r(y))) \Rightarrow ((\neg q(x)) \vee (A \vee B))))))$$

due to binding order can be rewritten into

$$(\forall y.(\forall x.(p(x) \wedge \neg r(y) \Rightarrow \neg q(x) \vee (A \vee B))))$$

which thanks to the convention of the association to the right and omitting the outer parentheses further simplifies to

$$\forall y.\forall x.(p(x) \wedge \neg r(y) \Rightarrow \neg q(x) \vee A \vee B).$$

## Example

Translating English sentences into first-order logic formulas:

1. Every rational number is a real number.

$$\forall x. (\text{rational}(x) \Rightarrow \text{real}(x))$$

2. There exists a number that is prime.

$$\exists x. \text{prime}(x)$$

3. For every number  $x$ , there exists a number  $y$  such that  $x < y$ .

$$\forall x. \exists y. x < y$$

Assume:

- ▶ *rational*, *real*, *prime*: unary predicate symbols.
- ▶  $<$ : binary predicate symbol.

## Example

Translating English sentences into first-order logic formulas:

1. There is no natural number whose immediate successor is 0.

$$\neg \exists x. \text{zero} \doteq \text{succ}(x)$$

2. For each natural number there exists exactly one immediate successor natural number.

$$\forall x. \exists y. (y \doteq \text{succ}(x) \wedge \forall z. (z \doteq \text{succ}(x) \Rightarrow y \doteq z))$$

3. For each nonzero natural number there exists exactly one immediate predecessor natural number.

$$\forall x. (\neg(x \doteq \text{zero}) \Rightarrow \exists y. (y \doteq \text{pred}(x) \wedge \forall z. (z \doteq \text{pred}(x) \Rightarrow y \doteq z)))$$

Assume:

- ▶ *zero*: constant
- ▶ *succ*, *pred*: unary function symbols.
- ▶  $\doteq$ : binary predicate symbol.

# Semantics

- ▶ Meaning of a first-order language consists of an universe and an appropriate meaning of each symbol.
- ▶ This pair is called structure.
- ▶ Structure fixes interpretation of function and predicate symbols.
- ▶ Meaning of variables is determined by a variable assignment.
- ▶ Interpretation of terms and formulas.

# Structure

- ▶ Structure: a pair  $(D, I)$ .
- ▶  $D$  is a nonempty universe, the domain of interpretation.
- ▶  $I$  is an interpretation function defined on  $D$  that fixes the meaning of each symbol associating
  - ▶ to each  $f \in \mathcal{F}^n$  an  $n$ -ary function  $f_I : D^n \rightarrow D$ ,  
(in particular,  $c_I \in D$  for each constant  $c$ )
  - ▶ to each  $p \in \mathcal{P}^n$  different from  $\doteq$ , an  $n$ -ary relation  $p_I$  on  $D$ .

# Variable Assignment

- ▶ A structure  $\mathcal{S} = (D, I)$  is given.
- ▶ Variable assignment  $\sigma_{\mathcal{S}}$  maps each  $x \in \mathcal{V}$  into an element of  $D$ :  $\sigma_{\mathcal{S}}(x) \in D$ .
- ▶ Given a variable  $x$ , an assignment  $\vartheta_{\mathcal{S}}$  is called an  $x$ -variant of  $\sigma_{\mathcal{S}}$  iff  $\vartheta_{\mathcal{S}}(y) = \sigma_{\mathcal{S}}(y)$  for all  $y \neq x$ .



# Interpretation of Terms

- ▶ A structure  $\mathcal{S} = (D, I)$  and a variable assignment  $\sigma_{\mathcal{S}}$  are given.
- ▶ Value of a term  $t$  under  $\mathcal{S}$  and  $\sigma_{\mathcal{S}}$ ,  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(t)$ :
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(x) = \sigma_{\mathcal{S}}(x)$ .
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(f(t_1, \dots, t_n)) = f_I(Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(t_1), \dots, Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(t_n))$ .

# Interpretation of Formulas

- ▶ A structure  $\mathcal{S} = (D, I)$  and a variable assignment  $\sigma_{\mathcal{S}}$  are given.
- ▶ Value of an atomic formula under  $\mathcal{S}$  and  $\sigma_{\mathcal{S}}$  is one of *true*, *false*:
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(s \doteq t) = true$  iff  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(s) = Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(t)$ .
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(p(t_1, \dots, t_n)) = true$  iff  $(Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(t_1), \dots, Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(t_n)) \in p_I$ .

# Interpretation of Formulas

- ▶ A structure  $\mathcal{S} = (D, I)$  and a variable assignment  $\sigma_{\mathcal{S}}$  are given.
- ▶ Values of compound formulas under  $\mathcal{S}$  and  $\sigma_{\mathcal{S}}$  are also either *true* or *false*:
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(\neg A) = true$  iff  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A) = false$ .
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A \vee B) = true$  iff  
 $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A) = true$  or  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(B) = true$ .
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A \wedge B) = true$  iff  
 $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A) = true$  and  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(B) = true$ .
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A \Rightarrow B) = true$  iff  
 $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A) = false$  or  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(B) = true$ .
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A \Leftrightarrow B) = true$  iff  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A) = Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(B)$ .
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(\exists x.A) = true$  iff  
 $Val_{\mathcal{S}, \vartheta_{\mathcal{S}}}(A) = true$  for some  $x$ -variant  $\vartheta_{\mathcal{S}}$  of  $\sigma_{\mathcal{S}}$ .
  - ▶  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(\forall x.A) = true$  iff  
 $Val_{\mathcal{S}, \vartheta_{\mathcal{S}}}(A) = true$  for all  $x$ -variants  $\vartheta_{\mathcal{S}}$  of  $\sigma_{\mathcal{S}}$ .

# Interpretation of Formulas

- ▶ A structure  $\mathcal{S} = (D, I)$  is given.
- ▶ The value of a formula  $A$  under  $\mathcal{S}$  is either *true* or *false*:
  - ▶  $Val_{\mathcal{S}}(A) = true$  iff  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(A) = true$  for all  $\sigma_{\mathcal{S}}$ .
- ▶  $\mathcal{S}$  is called a model of  $A$  iff  $Val_{\mathcal{S}}(A) = true$ .
- ▶ Written  $\models_{\mathcal{S}} A$ .

## Example

- ▶ Formula:  $\forall x.(p(x) \Rightarrow q(f(x), a))$
- ▶ Define  $\mathcal{S} = (D, I)$  as
  - ▶  $D = \{1, 2\}$ ,
  - ▶  $a_I = 1$ ,
  - ▶  $f_I(1) = 2, f_I(2) = 1$ ,
  - ▶  $p_I = \{2\}$ ,
  - ▶  $q_I = \{(1, 1), (1, 2), (2, 2)\}$ .
- ▶ If  $\sigma_{\mathcal{S}}(x) = 1$ , then  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(\forall x.(p(x) \Rightarrow q(f(x), a))) = true$ .
- ▶ If  $\sigma_{\mathcal{S}}(x) = 2$ , then  $Val_{\mathcal{S}, \sigma_{\mathcal{S}}}(\forall x.(p(x) \Rightarrow q(f(x), a))) = true$ .
- ▶ Hence,  $\models_{\mathcal{S}} A$ .

# Validity, Unsatisfiability

- ▶ A formula  $A$  is valid, if  $\models_{\mathcal{S}} A$  for all  $\mathcal{S}$ .
- ▶ Written  $\models A$ .
- ▶ A formula  $A$  is unsatisfiable, if  $\not\models_{\mathcal{S}} A$  for no  $\mathcal{S}$ .
- ▶ If  $A$  is valid, then  $\neg A$  is unsatisfiable and vice versa.
- ▶ The notions extend to (multi)sets of formulas.
- ▶ For  $\{A_1, \dots, A_n\}$ , just formulate them for  $A_1 \wedge \dots \wedge A_n$ .

Valid	Non-valid sat	Unsat
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# Validity, Unsatisfiability

Valid	Non-valid sat	Unsat
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- ▶  $\forall x.p(x) \Rightarrow \exists y.p(y)$  is valid.
- ▶  $p(a) \Rightarrow \neg\exists x.p(x)$  is satisfiable non-valid.
- ▶  $\forall x.p(x) \wedge \exists y.\neg p(y)$  is unsatisfiable.

# Logical Consequence

## Definition

A formula  $A$  is a logical consequence of the formulas  $B_1, \dots, B_n$ , if every model of  $B_1 \wedge \dots \wedge B_n$  is a model of  $A$ .

## Example

- ▶  $mortal(socrates)$  is a logical consequence of  $\forall x.(person(x) \Rightarrow mortal(x))$  and  $person(socrates)$ .
- ▶  $cooked(apple)$  is a logical consequence of  $\forall x.(\neg cooked(x) \Rightarrow tasty(x))$  and  $\neg tasty(apple)$ .
- ▶  $genius(einstein)$  is not a logical consequence of  $\exists x.person(x) \wedge genius(x)$  and  $person(einstein)$ .



# Logic Programs

- ▶ Logic programs: finite non-empty sets of formulas of a special form, called program clauses.
- ▶ Program clause:

$$\forall x_1 \dots \forall x_k. B_1 \wedge \dots \wedge B_n \Rightarrow A,$$

where

- ▶  $k, n \geq 0$ ,
  - ▶  $A$  and the  $B$ 's are atomic formulas,
  - ▶  $x_1, \dots, x_k$  are all the variables which occur in  $A, B_1, \dots, B_n$ .
- ▶ Usually written in the inverse implication form without quantifiers and conjunctions:

$$A \Leftarrow B_1, \dots, B_n$$

# Goal

- ▶ Goals or queries of logic programs: formulas of the form

$$\exists x_1 \dots \exists x_k. B_1 \wedge \dots \wedge B_n,$$

where

- ▶  $k, n \geq 0$ ,
  - ▶ the  $B$ 's are atomic formulas,
  - ▶  $x_1, \dots, x_k$  are all the variables which occur in  $B_1, \dots, B_n$ .
- ▶ Usually written without quantifiers and conjunction:

$$B_1, \dots, B_n$$

- ▶ The problem is to find out whether a goal is a logical consequence of the given logic program or not.

## The Problem and the Idea

- ▶ Let  $P$  be a program and  $G$  be a goal.
- ▶ Problem: Is  $G$  a logical consequence of  $P$ ?
- ▶ Idea: Try to show that the set of formulas  $P \cup \{\neg G\}$  is inconsistent.
- ▶ How? This we will learn in this course.

## Example

Let  $P$  consist of the two clauses:

- ▶  $\forall x.mortal(x) \Leftarrow person(x)$ .
- ▶  $person(socrates)$ .

Goal:  $G = \exists x.mortal(x)$ .

$\neg G$  is equivalent to  $\forall x.\neg mortal(x)$ .

The set

$$\{\forall x.mortal(x) \Leftarrow person(x), person(socrates), \forall x.\neg mortal(x)\}$$

is inconsistent.

Hence,  $G$  is a logical consequence of  $P$ .

We can even compute the witness term for the goal:

$x = socrates$ .

How? This we will learn in this lecture.