

Introduction to Unification Theory

Speeding Up

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Improving the Recursive Descent Algorithm

- ▶ Improvement 1: Linear Space, Exponential Time
- ▶ Improvement 2. Linear Space, Quadratic Time
- ▶ Improvement 3. Almost Linear Algorithm

Unification via \mathcal{U} : Exponential in Time and Space

Example

Unifying s and t , where

$$s = h(x_1, x_2, \dots, x_n, f(y_0, y_0), f(y_1, y_1), \dots, f(y_{n-1}, y_{n-1}), y_n)$$

$$t = h(f(x_0, x_0), f(x_1, x_1), \dots, f(x_{n-1}, x_{n-1}), y_1, y_2, \dots, y_n, x_n)$$

will create an mgu where each x_i and each y_i is bound to a term with $2^{i+1} - 1$ symbols:

$$\{x_1 \mapsto f(x_0, x_0), x_2 \mapsto f(f(x_0, x_0), f(x_0, x_0)), \dots, \\ y_0 \mapsto x_0, y_1 \mapsto f(x_0, x_0), y_2 \mapsto f(f(x_0, x_0), f(x_0, x_0)), \dots\}$$

Can we do better?

Unification via \mathcal{U} : Exponential in Time and Space

First idea: Use triangular substitutions.

Example

Triangular unifier of s and t from the previous example:

$$[y_0 \mapsto x_0; y_n \mapsto f(y_{n-1}, y_{n-1}); y_{n-1} \mapsto f(y_{n-2}, y_{n-2}); \dots]$$

- ▶ Triangular unifiers are not larger than the original problem.
- ▶ However, it is not enough to rescue the algorithm:
 - ▶ Substitutions have to be applied to terms in the problem, that leads to duplication of subterms.
 - ▶ In the example, unifying x_n and y_n , which by then are bound to terms with $2^{n+1} - 1$ symbols, will lead to exponential number of decompositions.

Unification via \mathcal{U} : Exponential in Time and Space

- ▶ Problem: Duplicate occurrences of the same variable cause the explosion in the size of terms.
- ▶ Fix: Represent terms as graphs which share subterms.

Term Dags

Term Dag

A term dag is a directed acyclic graph such that

- ▶ its nodes are labeled with function symbols or variables,
- ▶ its outgoing edges from any node are ordered,
- ▶ outdegree of any node labeled with a symbol f is equal to the arity of f ,
- ▶ nodes labeled with variables have outdegree 0.

Term Dags

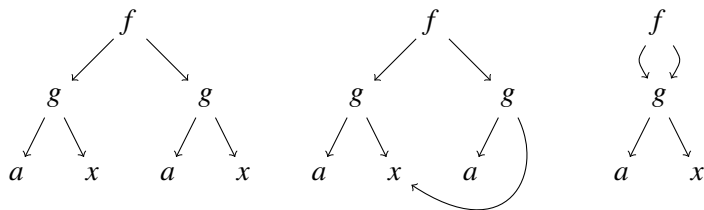
- ▶ Convention: Nodes and terms the term dags represent will not be distinguished.
- ▶ Example: “node” $f(a, x)$ is a node labeled with f and having two arcs to a and to x .

Term Dags

The only difference between various dags representing the same term is the amount of structure sharing between subterms.

Example

Three representations of the term $f(g(a, x), g(a, x))$:



Term Dags

- ▶ It is possible to build a dag with unique, shared variables for a given term in $O(n * \log(n))$ where n is the number of symbols in the term.
- ▶ There are subtle variations that can improve this result to $O(n)$.
- ▶ Assumption for the algorithm we plan to consider:
 - ▶ The input is a term dag representing the two terms to be unified, with unique, shared occurrences of all variables.

Term Dags

Representing substitutions involving only subterms of a term dag:

- ▶ Directly by a relation on the nodes of the dag, either
 - ▶ stored explicitly as a list of pairs, or
 - ▶ by storing a link (“substitution arcs”) in the graph itself, and maintaining a list of variables (nodes) bound by the substitution.

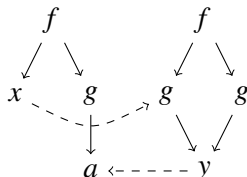
Term Dags

Substitution application.

- ▶ Implicit: Identifies two nodes connected with a substitution arc, without actually moving any of the subterm links.

Example

A term dag for the terms $f(x, g(a))$ and $f(g(y), g(y))$, with the implicit application of their mgu $\{x \mapsto g(a), y \mapsto a\}$.



Term Dags

- ▶ With implicit application, the binding for a variable can be determined by traversing the graph depth first, left to right.

Improvement 1: Linear Space, Exponential Time

Assumptions:

- ▶ Dags consist of nodes.
- ▶ Any node in a given dag defines a unique subdag (consisting of the nodes which can be reached from this node), and thus a unique subterm.
- ▶ Two different types of nodes: variable nodes and function nodes.
- ▶ Information at function nodes:
 - ▶ The name of the function symbol.
 - ▶ The arity n of this symbol.
 - ▶ The list (of length n) of successor nodes (corresponds to the argument list of the function)
- ▶ Both function and variable nodes may be equipped with one extra pointer (dashed arrow in diagrams) to another node.

Auxiliary procedures for Unification on Term Dags

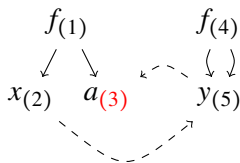
- ▶ `Find`:
Takes a node of a dag as input, and follows the additional pointers until it reaches a node without such a pointer. This node is the output of `Find`.

Auxiliary procedures for Unification on Term Dags

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Example

- ▶ `Find(3)=(3)`

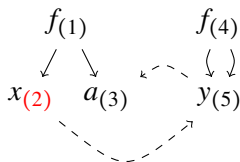


Auxiliary procedures for Unification on Term Dags

- ▶ `Find`:
Takes a node of a dag as input, and follows the additional pointers until it reaches a node without such a pointer. This node is the output of `Find`.

Example

- ▶ `Find(3)=(3)`
- ▶ `Find(2)=`

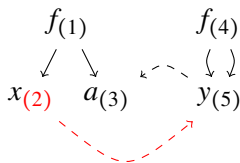


Auxiliary procedures for Unification on Term Dags

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- ▶ `Find(3)=(3)`
- ▶ `Find(2)=`

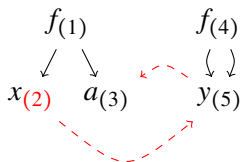


Auxiliary procedures for Unification on Term Dags

- ▶ `Find`:
Takes a node of a dag as input, and follows the additional pointers until it reaches a node without such a pointer. This node is the output of `Find`.

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- ▶ `Find(3)=(3)`
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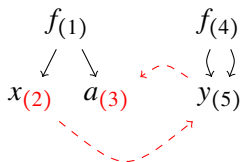


Auxiliary procedures for Unification on Term Dags

- ▶ `Find`:
Takes a node of a dag as input, and follows the additional pointers until it reaches a node without such a pointer. This node is the output of `Find`.

Example

- ▶ `Find(3)=(3)`
- ▶ `Find(2)= (3)`



Auxiliary procedures for Unification on Term Dags

- ▶ Union:

Takes as input a pair of nodes u, v that do not have additional pointers and creates such a pointer from u to v .

Auxiliary procedures for Unification on Term Dags

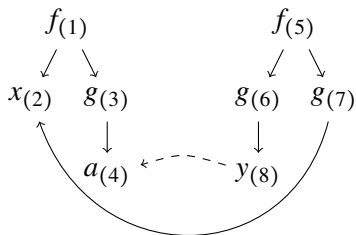
- ▶ `Occur`:

Takes as input a variable node u and another node v (both without additional pointers) and performs the occur check, i.e. it tests whether the variable is contained in the term corresponding to v . The test is performed on the virtual term expressed by the additional pointer structure, i.e. one applies `Find` to all nodes that are reached during the test.

Auxiliary procedures for Unification on Term Dags

- ▶ Occur

Example

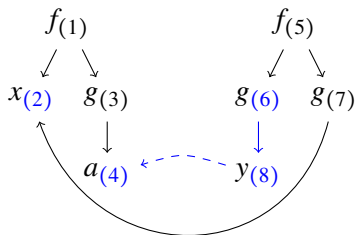


Auxiliary procedures for Unification on Term Dags

- ▶ Occur

Example

- ▶ $\text{Occur}(2,6) = \text{False}$

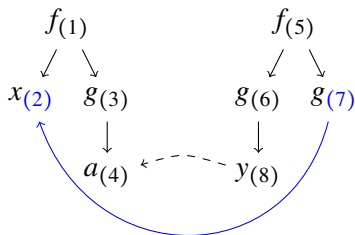


Auxiliary procedures for Unification on Term Dags

- ▶ Occur

Example

- ▶ $\text{Occur}(2,6)=\text{False}$
- ▶ $\text{Occur}(2,7)=\text{True}$



Unification of Term Dags

Input: A pair of nodes k_1 and k_2 in a dag

Output: *True* if the terms corresponding to k_1 and k_2 are unifiable. *False* Otherwise.

Side Effect: A pointer structure which allows to read off an mgu and the unified term.

Procedure Unify1. Unification of term dags.
(Continues on the next slide)

Unification of Term Dags

Unify1 (k_1, k_2)

if $k_1 = k_2$ **then return** *True* /* Trivial */

else

if *function-node*(k_2) **then**

 | $u := k_1; v := k_2$

else

 | $u := k_2; v := k_1$ /* Orient */

end

Procedure Unify1. Unification of term dags.

(Continues on the next slide)

Unification of Term Dags

```
if variable-node(u) then
|   if Occurs (u, v)                               /* Occur-check */
|   then
|   |   return False
|   else
|   |   Union(u, v)                                 /* Variable elimination */
|   |   return True
|   end
else if function-symbol(u) ≠ function-symbol(v)
then
|   return False                                     /* Symbol clash */
```

Procedure Unify1. Unification of term dags. Continued.
(Continues on the next slide)

Unification of Term Dags

else

$n := \text{arity}(\text{function-symbol}(u))$

$(u_1, \dots, u_n) := \text{succ-list}(u)$

$(v_1, \dots, v_n) := \text{succ-list}(v)$

$i := 0; \text{bool} := \text{True}$

while $i \leq n$ **and** bool **do**

$i := i + 1; \text{bool} := \text{Unify1}(\text{Find}(u_i), \text{Find}(v_i))$

 /* Decomp. */

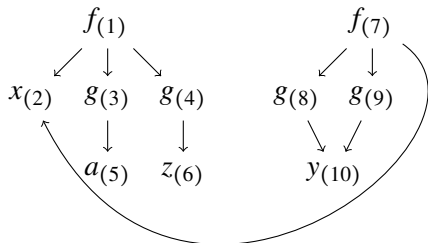
end

return bool

Procedure Unify1. Unification of term dags. Finished.

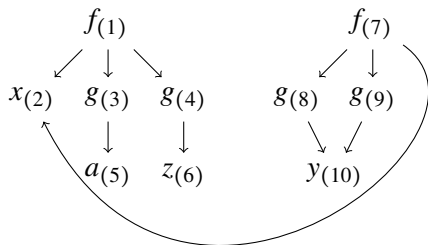
Unification of Term Dags. Example 1

- ▶ Unify $f(x, g(a), g(z))$ and $f(g(y), g(y), x)$.
- ▶ First, create dags.
- ▶ Numbers indicate nodes.



Unification of Term Dags. Example 1

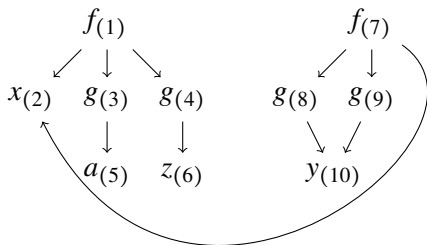
Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(2), \text{Find}(8))$

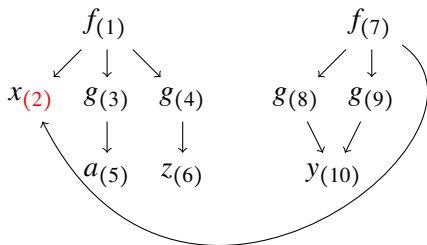


Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(2), \text{Find}(8))$

$\text{Find}(2) = (2)$



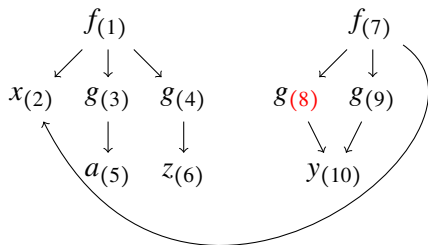
Unification of Term Dags. Example 1

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$\text{Unify}_1(\text{Find}(2), \text{Find}(8))$

$\text{Find}(2) = (2)$

$\text{Find}(8) = (8)$



Unification of Term Dags. Example 1

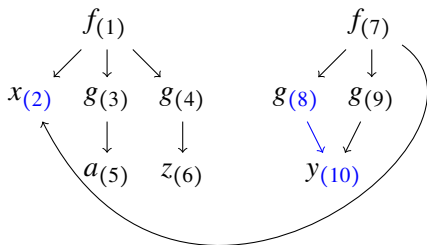
Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(2), \text{Find}(8))$

$\text{Find}(2) = (2)$

$\text{Find}(8) = (8)$

$\text{Occur}(2, 8) = \text{False}$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

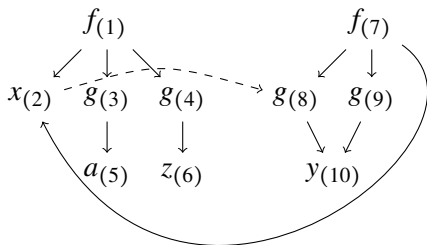
$\text{Unify}_1(\text{Find}(2), \text{Find}(8))$

$\text{Find}(2) = (2)$

$\text{Find}(8) = (8)$

$\text{Occur}(2, 8) = \text{False}$

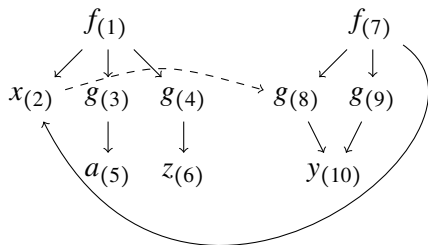
$\text{Union}(2, 8)$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

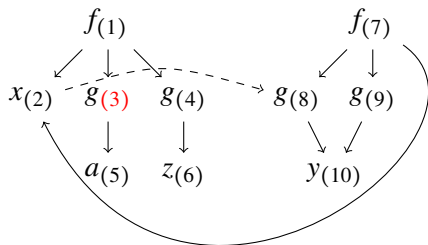


Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

$\text{Find}(3) = (3)$



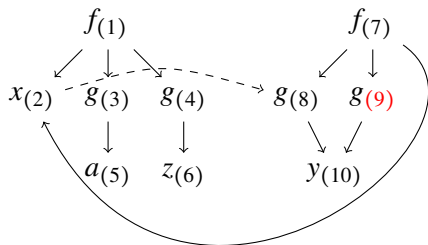
Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

$\text{Find}(3) = (3)$

$\text{Find}(9) = (9)$



Unification of Term Dags. Example 1

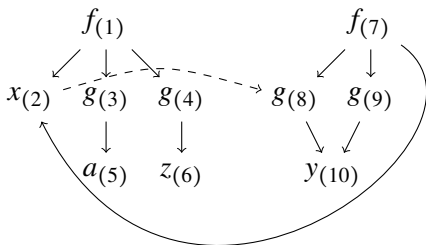
Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

$\text{Find}(3) = (3)$

$\text{Find}(9) = (9)$

$\text{Unify}_1(\text{Find}(5), \text{Find}(10))$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

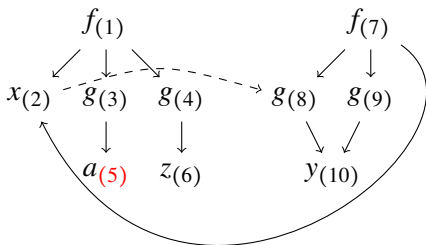
$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

$\text{Find}(3) = (3)$

$\text{Find}(9) = (9)$

$\text{Unify}_1(\text{Find}(5), \text{Find}(10))$

$\text{Find}(5) = 5$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

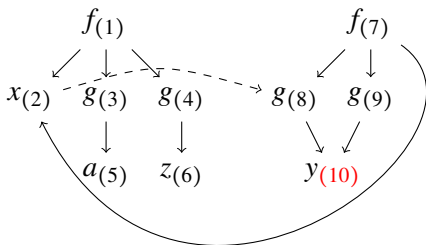
$\text{Find}(3) = (3)$

$\text{Find}(9) = (9)$

$\text{Unify}_1(\text{Find}(5), \text{Find}(10))$

$\text{Find}(5) = 5$

$\text{Find}(10) = 10$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

$\text{Find}(3) = (3)$

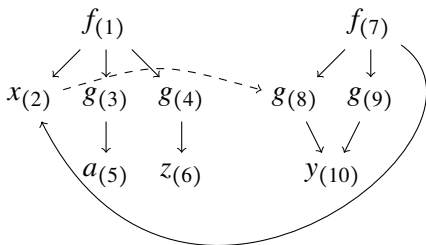
$\text{Find}(9) = (9)$

$\text{Unify}_1(\text{Find}(5), \text{Find}(10))$

$\text{Find}(5) = 5$

$\text{Find}(10) = 10$

$\text{orient}(10, 5)$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

$\text{Find}(3) = (3)$

$\text{Find}(9) = (9)$

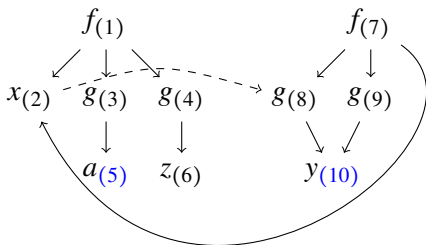
$\text{Unify}_1(\text{Find}(5), \text{Find}(10))$

$\text{Find}(5) = 5$

$\text{Find}(10) = 10$

$\text{orient}(10, 5)$

$\text{Occur}(10, 5) = \text{False}$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(3), \text{Find}(9))$

$\text{Find}(3) = (3)$

$\text{Find}(9) = (9)$

$\text{Unify}_1(\text{Find}(5), \text{Find}(10))$

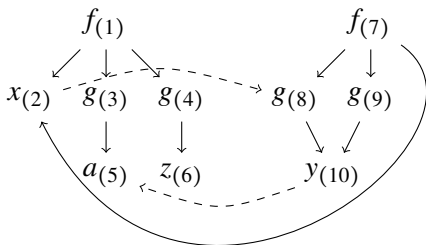
$\text{Find}(5) = 5$

$\text{Find}(10) = 10$

$\text{orient}(10, 5)$

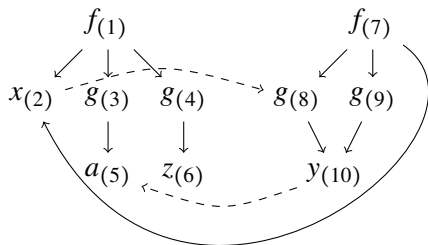
$\text{Occur}(10, 5) = \text{False}$

$\text{Union}(10, 5)$



Unification of Term Dags. Example 1

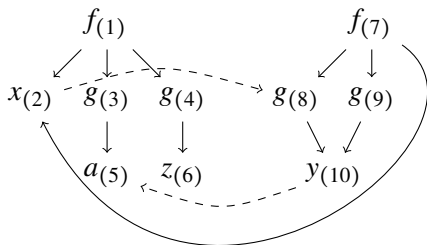
Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

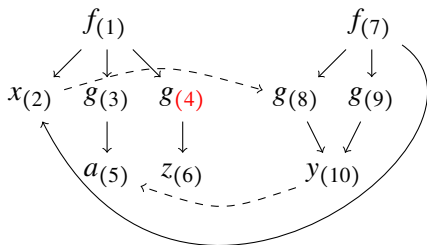


Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

$\text{Find}(4) = 4$



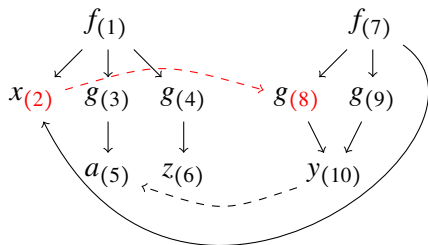
Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

$\text{Find}(4) = 4$

$\text{Find}(2) = 8$



Unification of Term Dags. Example 1

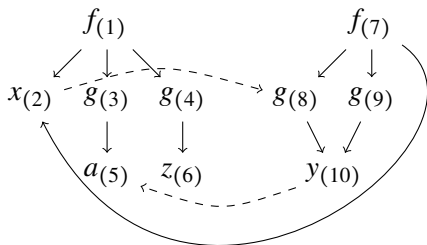
Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

$\text{Find}(4) = 4$

$\text{Find}(2) = 8$

$\text{Unify}_1(4, 8)$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

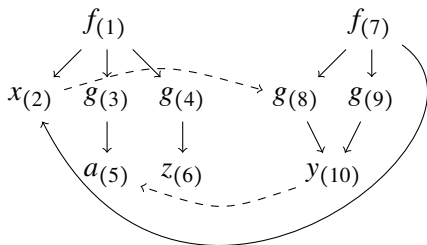
$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

$\text{Find}(4) = 4$

$\text{Find}(2) = 8$

$\text{Unify}_1(4, 8)$

$\text{Unify}_1(\text{Find}(6), \text{Find}(10))$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

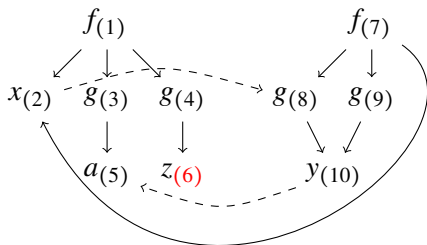
$\text{Find}(4) = 4$

$\text{Find}(2) = 8$

$\text{Unify}_1(4, 8)$

$\text{Unify}_1(\text{Find}(6), \text{Find}(10))$

$\text{Find}(6) = 6$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

$\text{Find}(4) = 4$

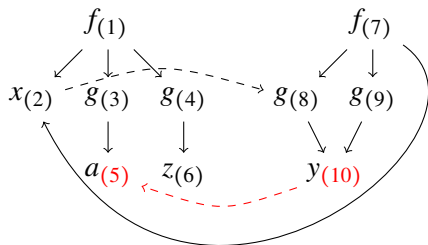
$\text{Find}(2) = 8$

$\text{Unify}_1(4, 8)$

$\text{Unify}_1(\text{Find}(6), \text{Find}(10))$

$\text{Find}(6) = 6$

$\text{Find}(10) = 5$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

$\text{Find}(4) = 4$

$\text{Find}(2) = 8$

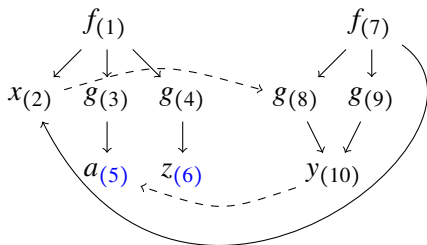
$\text{Unify}_1(4, 8)$

$\text{Unify}_1(\text{Find}(6), \text{Find}(10))$

$\text{Find}(6) = 6$

$\text{Find}(10) = 5$

$\text{Occur}(6, 5) = \text{False}$



Unification of Term Dags. Example 1

Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

$\text{Unify}_1(\text{Find}(4), \text{Find}(2))$

$\text{Find}(4) = 4$

$\text{Find}(2) = 8$

$\text{Unify}_1(4, 8)$

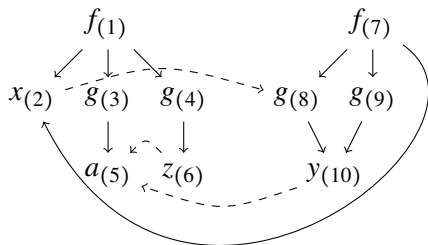
$\text{Unify}_1(\text{Find}(6), \text{Find}(10))$

$\text{Find}(6) = 6$

$\text{Find}(10) = 5$

$\text{Occur}(6, 5) = \text{False}$

$\text{Union}(6, 5)$

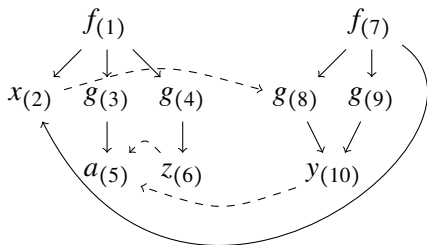


Unification of Term Dags. Example 1

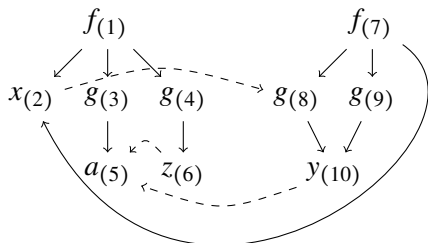
Algorithm run starts with $\text{Unify}_1(1, 7)$ and continues:

```
Unify1(Find(4), Find(2))  
  Find(4) = 4  
  Find(2) = 8  
Unify1(4, 8)  
  Unify1(Find(6), Find(10))  
    Find(6) = 6  
    Find(10) = 5  
    Occur(6, 5) = False  
    Union(6, 5)
```

True



Unification of Term Dags. Example 1 (Cont.)



- ▶ From the final dag one can read off:
 - ▶ The unified term $f(g(a), g(a), g(a))$.
 - ▶ The mgu in triangular form $[x \mapsto g(y); y \mapsto a; z \mapsto a]$.
- ▶ No new nodes. Only one extra pointer for each variable node.
- ▶ Needs linear space.
- ▶ Time is still exponential. See the next example.

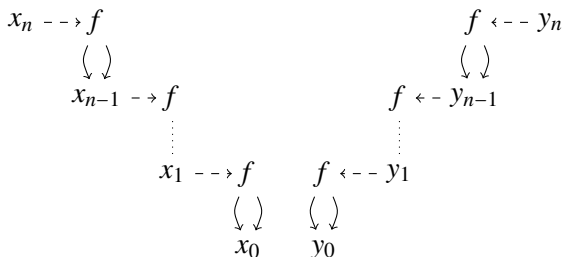
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Consider again the problem $s \doteq? t$, where

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$$t = h(f(x_0, x_0), f(x_1, x_1), \dots, f(x_{n-1}, x_{n-1}), y_1, y_2, \dots, y_n, x_n)$$

A dag representation of the term bound to x_n and y_n :



Exponential number of recursive calls.

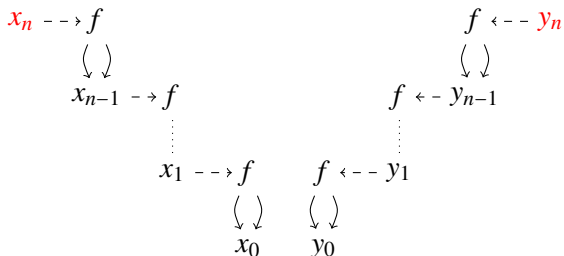
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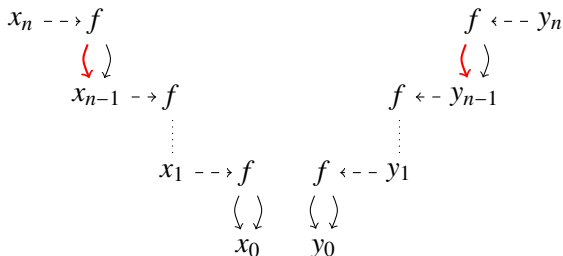
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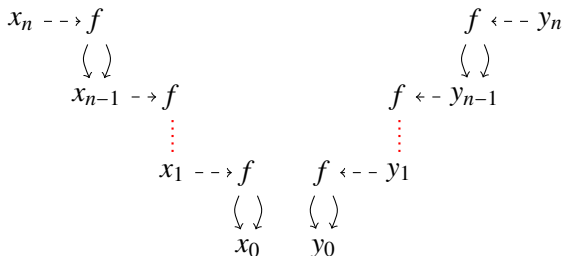
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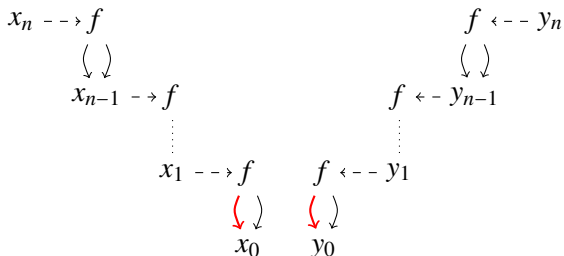
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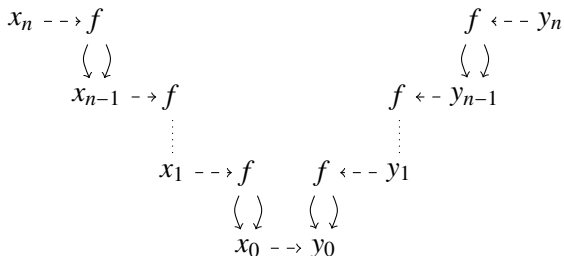
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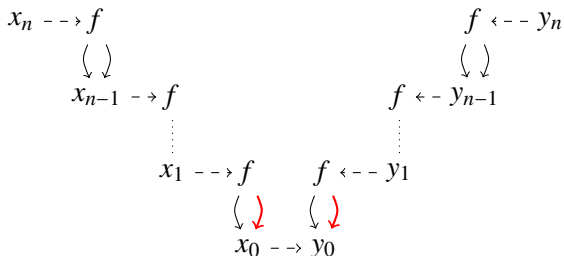
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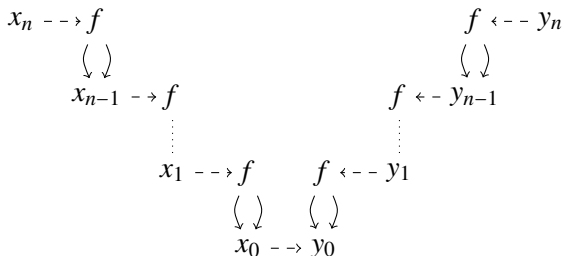
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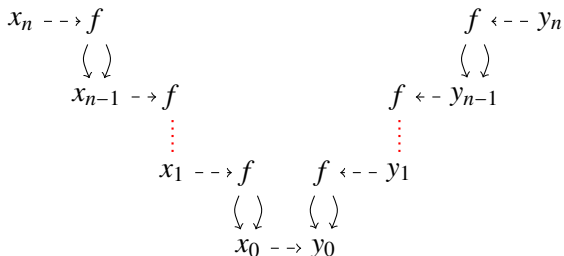
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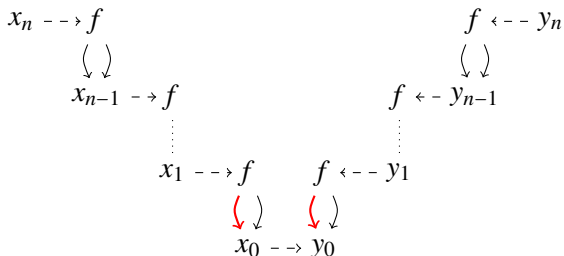
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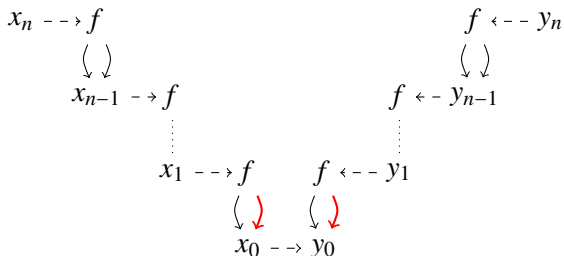
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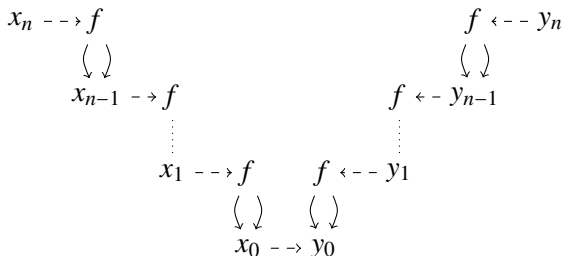
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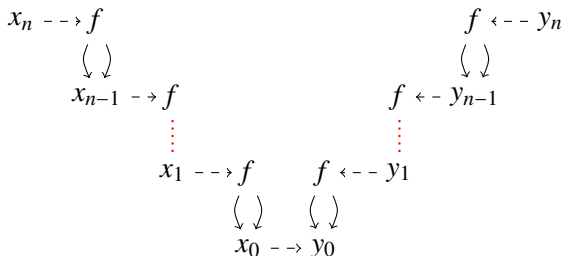
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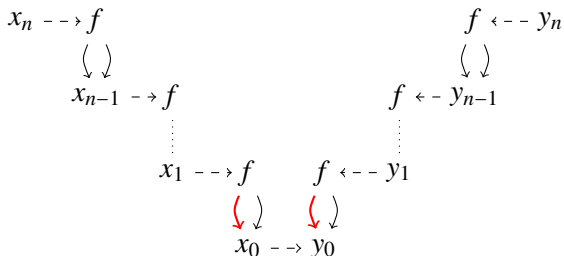
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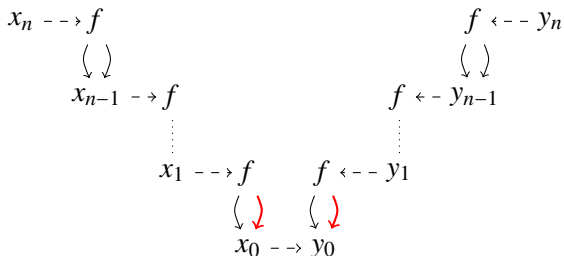
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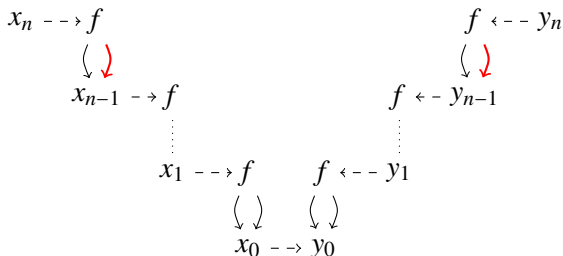
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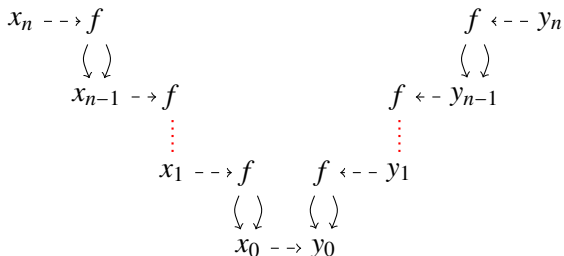
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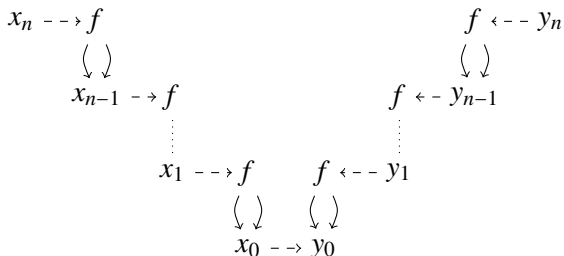
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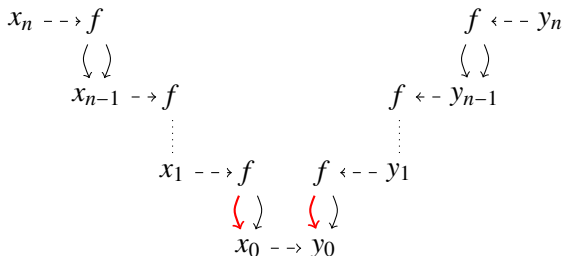
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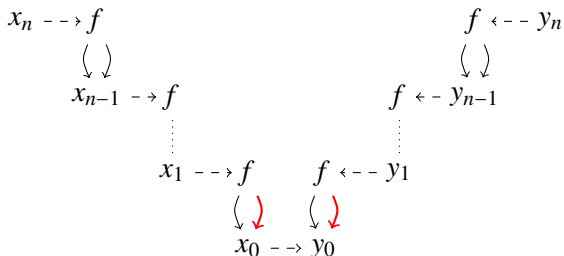
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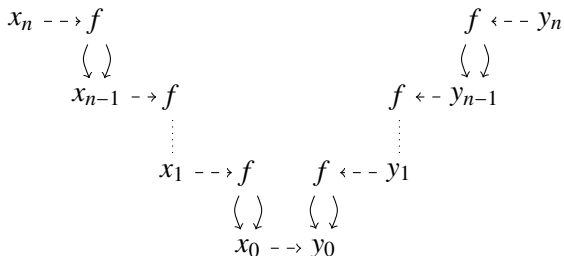
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A dag representation of the term bound to x_n and y_n :



Exponential number of recursive calls.

Unification of Term Dags: Correctness

`Unify1` can be simulated by \mathcal{U} such that

- ▶ If the call to `Unify1` ends in failure, then the corresponding transformation sequence in \mathcal{U} ends in \perp .
- ▶ If the call to `Unify1` terminates with success, with a substitution σ read from the pointer structure, then the corresponding transformation sequence \mathcal{U} ends in $\emptyset; S$ where $\sigma_S = \sigma$.

Unification of Term Dags: Complexity

- ▶ Linear space: terms are not duplicated anymore.
- ▶ Exponential time: Calls `Unify1` recursively exponentially often.

Unification of Term Dags: Complexity

- ▶ Linear space: terms are not duplicated anymore.
- ▶ Exponential time: Calls `Unify1` recursively exponentially often.
- ▶ Fortunately, with an easy trick one can make the running time quadratic.
- ▶ Idea: Keep from revisiting already-solved problems in the graph.
- ▶ The algorithm of Corbin and Bidoit:



J. Corbin and M. Bidoit.

A rehabilitation of Robinson's unification algorithm.

In R. Mason, editor, *Information Processing 83*, pages 909–914. Elsevier Science, 1983.

Improvement 2. Linear Space, Quadratic Time

Input: A pair of nodes k_1 and k_2 in a dag.

Output: *True* if the terms corresponding to k_1 and k_2 are unifiable. *False* Otherwise.

Side Effect: A pointer structure which allows to read off an mgu and the unified term.

Procedure Unify2. Quadratic Algorithm.

(No difference from `Unify1` so far. Continues on the next slide)

Quadratic Algorithm

Unify2 (k_1, k_2)

if $k_1 = k_2$ **then return** *True* /* Trivial */

else

if *function-node*(k_2) **then**

 | $u := k_1; v := k_2$

else

 | $u := k_2; v := k_1$

/* Orient */

end

Procedure Unify2. Quadratic Algorithm.

(No difference from Unify1 so far. Continues on the next slide)

Quadratic Algorithm

```
if variable-node( $u$ ) then  
| if Occurs ( $u, v$ ) /* Occur-check */  
| then  
| | return False  
| else  
| | Union( $u, v$ ) /* Variable elimination */  
| | return True  
| end  
else if function-symbol( $u$ )  $\neq$  function-symbol( $v$ ) then  
| return False /* Symbol clash */
```

Procedure Unify2. Quadratic Algorithm. Continued.
(No difference from `Unify1` so far. Continues on the next slide)

Quadratic Algorithm

else

$n := \text{arity}(\text{function-symbol}(u))$

$(u_1, \dots, u_n) := \text{succ-list}(u)$

$(v_1, \dots, v_n) := \text{succ-list}(v)$

$i := 0$; $bool := \text{True}$

Union(u, v)

while $i \leq n$ **and** $bool$ **do**

$i := i + 1$; $bool := \text{Unify2}(\text{Find}(u_i), \text{Find}(v_i))$

 /* Decomp. */

end

return $bool$

Procedure Unify2. Quadratic Algorithm. Finished.

(The only difference from Unify1 is **Union**(u, v).)

Quadratic Algorithm. Example

The same example that revealed exponential behavior of RDA:

$$\begin{array}{ccc} x_n \dashrightarrow f & & f \dashleftarrow y_n \\ \quad \downarrow \downarrow & & \quad \downarrow \downarrow \\ x_{n-1} \dashrightarrow f & & f \dashleftarrow y_{n-1} \\ \quad \vdots & & \quad \vdots \\ x_1 \dashrightarrow f & & f \dashleftarrow y_1 \\ \quad \downarrow \downarrow & & \quad \downarrow \downarrow \\ x_0 & & y_0 \end{array}$$

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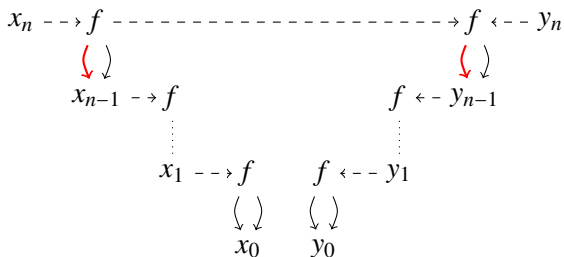
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Quadratic Algorithm. Example

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Quadratic Algorithm. Example

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Quadratic Algorithm. Example

The same example that revealed exponential behavior of RDA:

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Why is it Quadratic?

- ▶ The algorithm is quadratic in the number of symbols in original terms:
 - ▶ Each call of `Unify2` either returns immediately, or makes one more node unreachable for the `Find` operation.
 - ▶ Therefore, there can be only linearly many calls of `Unify2`.
 - ▶ Quadratic complexity comes from the fact that `Occur` and `Find` operations are linear.

Improvement 3. Almost Linear Algorithm

How to eliminate two sources of nonlinearity of `Unify2`?

- ▶ `Occur`: Just omit the occur check during the execution of the algorithm.
 - ▶ Consequence: The data structure may contain cycles.
 - ▶ Since the occur-check failures are not detected immediately, at the end an extra check has to be performed to find out whether the generated structure is cyclic or not.
 - ▶ Detecting cycles in a directed graph can be done by linear search.
- ▶ `Find`: Use more efficient union-find algorithm from



[R. Tarjan.](#)

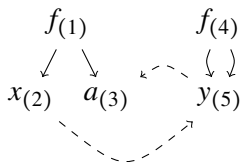
Efficiency of a good but not linear set union algorithm.

J. ACM, 22(2):215–225, 1975.

Auxiliary Procedures for the Almost Linear Algorithm

- ▶ Collapsing-find:
 - ▶ Like `Find` it takes a node k of a dag as input, and follows the additional pointers until the node `Find(k)` is reached.
 - ▶ In addition, `Collapsing-find` relocates the pointer of all the nodes reached during this process to `Find(k)`.

Example

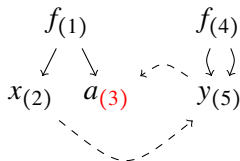


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- ▶ $CF(3)=(3)$

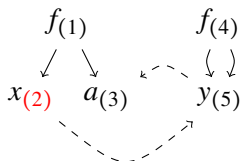


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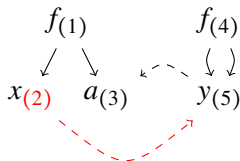


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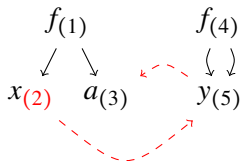


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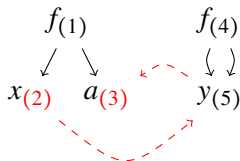


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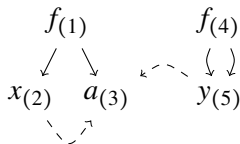


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Auxiliary Procedures for the Almost Linear Algorithm

- ▶ `Union-with-weight`:
 - ▶ Takes as input a pair of nodes u, v that do not have additional pointers.
 - ▶ If the set $\{k \mid \text{Find}(k) = u\}$ larger than the set $\{k \mid \text{Find}(k) = v\}$ then it creates an additional pointer from v to u .
 - ▶ Otherwise, it creates an additional pointer from u to v .
 - ▶ Hence, the link is created from the smaller tree to the larger one, increasing the path to the root (the result of `Find`) for fewer nodes.

Weighted union does not apply when we have a variable node and a function node.

Almost Linear Algorithm

One more auxiliary procedure:

- ▶ `Not-cyclic`:
 - ▶ Takes a node k as input, and tests the graph which can be reached from k for cycles.
 - ▶ The test is performed on the virtual graph expressed by the additional pointer structure, i.e. one first applies `Collapsing-find` to all nodes that are reached during the test.

Almost Linear Algorithm

Input: A pair of nodes k_1 and k_2 in a directed graph.

Output: *True* if k_1 and k_2 correspond unifiable terms. *False* Otherwise.

Side Effect: A pointer structure which allows to read off an mgu and the unified term.

Unify3 (k_1, k_2)

if Cyclic-unify (k_1, k_2) and Not-cyclic (k_1) **then**

| **return** *True*

else

| **return** *False*

end

Procedure Unify3. Almost Linear Algorithm.

(Continues on the next slide)

Almost Linear Algorithm

Cyclic-unify (k_1, k_2)

if $k_1 = k_2$ **then return** *True*

/ Trivial */*

else

if *function-node*(k_2) **then**

 | $u := k_1; v := k_2$

else

 | $u := k_2; v := k_1$

end

/ Orient */*

Procedure Cyclic-unify.
(Continues on the next slide)

Almost Linear Algorithm

```
if variable-node(u) then  
| if variable-node(v) then  
| | Union-with-weight(u, v)  
| else  
| | Union(u, v); /* No occur-check. Variable elimination */  
| | return True  
| end  
else if function-symbol(u)  $\neq$  function-symbol(v) then  
| return False; /* Symbol clash */
```

Procedure Cyclic-unify.
(Continues on the next slide)

Almost Linear Algorithm

else

$n := \text{arity}(\text{function-symbol}(u))$

$(u_1, \dots, u_n) := \text{succ-list}(u)$

$(v_1, \dots, v_n) := \text{succ-list}(v)$

$i := 0; \text{bool} := \text{True}$

Union-with-weight (u, v)

while $i \leq n$ **and** bool **do**

$i := i + 1$

$\text{bool} :=$

 Cyclic-unify(Collapsing-find(u_i)

 Collapsing-find(v_i)) /* Decomposition */

end

return bool

Procedure Cyclic-unify. Finished.

Almost Linear Algorithm

The algorithm is very similar to the one described in Gerard Huet's thesis:



G. Huet.

Résolution d'Équations dans des Langages d'ordre
 $1, 2, \dots, \omega$.

Thèse d'État, Université de Paris VII, 1976.

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 - ▶ Therefore, complexity of `Cyclic-unify` is $O(n * \alpha(n))$.
 - ▶ Complexity of `Not-cyclic` is linear.
 - ▶ Hence, complexity of `Unify3` is $O(n * \alpha(n))$.

Implementation: Matching vs. Unification

- ▶ Unlike matching, efficient unification algorithms require sophisticated data structures.
- ▶ When efficiency is an issue, matching should be implemented separately from unification.

Summary

- ▶ Recursive Descent Algorithm for unification is exponential in time and space.
- ▶ Using term dags reduces space complexity to linear.
- ▶ Making the union pointer between function nodes before unifying their arguments reduces time complexity to quadratic.
- ▶ Using collapsing-find and union-with-weight further reduces time complexity to almost linear.