# Rewriting <br> Part 3.2 Equational Problems. Syntactic Unification 

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## Validity and Satisfiability

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Decide: $s \approx_{E} t$.
Satisfiability problem:
Given: A set of identities $E$ and terms $s$ and $t$.
Find: A substitution $\sigma$ such that $\sigma(s) \approx_{E} \sigma(t)$.

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## Equational Problems

The following methods solve special cases:

- Term rewriting decides $\approx_{E}$ if $\rightarrow_{E}$ is convergent.
(Discussed in the previous lecture)
- Congruence closure decided $\approx_{E}$ when $E$ is variable-free. (Discussed in the previous lecture)
- Syntactic unification computes $\sigma$ such that $\sigma(s)=\sigma(t)$. (Today)


## Unification

Unification is the process of solving satisfiability problems:
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## Unification

Syntactic unification:
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Find: A substitution $\sigma$ such that $\sigma(s)=\sigma(t)$.

- $\sigma$ : a unifier of $s$ and $t$.
- $\sigma$ : a solution of the equation $s={ }^{?} t$.


## Examples

$$
\begin{aligned}
f(x)=? f(a): & \text { exactly one unifier }\{x \mapsto a\} \\
x=? f(y): & \text { infinitely many unifiers } \\
& \{x \mapsto f(y)\},\{x \mapsto f(a), y \mapsto a\}, \ldots
\end{aligned}
$$

$f(x)=? g(y)$ : no unifiers
$x=? f(x)$ : no unifiers

## Examples

$x={ }^{?} f(y):$ infinitely many unifiers

$$
\{x \mapsto f(y)\},\{x \mapsto f(a), y \mapsto a\}, \ldots
$$

- Some solutions are better than the others: $\{x \mapsto f(y)\}$ is more general than $\{x \mapsto f(a), y \mapsto a\}$


## Substitutions

## Instantiation Quasi-Ordering

- A substitution $\sigma$ is more general than $\vartheta$, written $\sigma \lesssim \vartheta$, if there exists $\eta$ such that $\eta \sigma=\vartheta$.
- $\vartheta$ is called an instance of $\sigma$.
- The relation $\lesssim$ is quasi-ordering (reflexive and transitive binary relation), called instantiation quasi-ordering.
- $\sim$ is the equivalence relation corresponding to $\lesssim$, i.e., the relation $\lesssim \cap \gtrsim$.


## Example 3.2

Let $\sigma=\{x \mapsto y\}, \rho=\{x \mapsto a, y \mapsto a\}, \vartheta=\{y \mapsto x\}$.

- $\sigma \lesssim \rho$, because $\{y \mapsto a\} \sigma=\rho$.
- $\sigma \lesssim \vartheta$, because $\{y \mapsto x\} \sigma=\vartheta$.
- $\vartheta \lesssim \sigma$, because $\{x \mapsto y\} \vartheta=\sigma$.
- $\sigma \sim \vartheta$.


## Substitutions

Definition 3.2 (Variable Renaming)
A substitution $\sigma=\left\{x_{1} \mapsto y_{1}, x_{2} \mapsto y_{2}, \ldots, x_{n} \mapsto y_{n}\right\}$ is called variable renaming iff $\left\{x_{1}, \ldots, x_{n}\right\}=\left\{y_{1}, \ldots, y_{n}\right\}$.
(Permuting the domain variables.)
Example 3.3

- $\{x \mapsto y, y \mapsto z, z \mapsto x\}$ is a variable renaming.
- $\{x \mapsto a\},\{x \mapsto y\}$, and $\{x \mapsto z, y \mapsto z, z \mapsto x\}$ are not.


## Substitutions

## Definition 3.3 (Idempotent Substitution)

A substitution $\sigma$ is idempotent iff $\sigma \sigma=\sigma$.
Example 3.4
Let $\sigma=\{x \mapsto f(z), y \mapsto z\}, \vartheta=\{x \mapsto f(y), y \mapsto z\}$.

- $\sigma$ is idempotent.
- $\vartheta$ is not: $\vartheta \vartheta=\sigma \neq \vartheta$.


## Substitutions

Lemma 3.2
$\sigma \sim \vartheta$ iff there exists a variable renaming $\rho$ such that $\rho \sigma=\vartheta$.
Proof.
Exercise.

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Proof.
Exercise.
Example 3.5

- $\sigma=\{x \mapsto y\}$.
- $\vartheta=\{y \mapsto x\}$.
- $\sigma \sim \vartheta$.
- $\{x \mapsto y, y \mapsto x\} \sigma=\vartheta$.


## Substitutions

Theorem 3.4
$\sigma$ is idempotent iff $\mathcal{D o m}(\sigma) \cap \mathcal{V} \mathcal{R} a n(\sigma)=\emptyset$.
Proof.
Exercise.

## Substitutions

Definition 3.4 (Unification Problem, Unifier, MGU)

- Unification problem: A finite set of equations $\Gamma=\left\{s_{1}={ }^{?} t_{1}, \ldots, s_{n}={ }^{?} t_{n}\right\}$.


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- Unifier or solution of $\Gamma$ : A substitution $\sigma$ such that $\sigma\left(s_{i}\right)=\sigma\left(t_{i}\right)$ for all $1 \leq i \leq n$.


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- Unifier or solution of $\Gamma$ : A substitution $\sigma$ such that $\sigma\left(s_{i}\right)=\sigma\left(t_{i}\right)$ for all $1 \leq i \leq n$.
- $\mathcal{U}(\Gamma)$ : The set of all unifiers of $\Gamma$. $\Gamma$ is unifiable iff $\mathcal{U}(\Gamma) \neq \emptyset$.


## Substitutions

Definition 3.4 (Unification Problem, Unifier, MGU)

- Unification problem: A finite set of equations $\Gamma=\left\{s_{1}={ }^{?} t_{1}, \ldots, s_{n}={ }^{?} t_{n}\right\}$.
- Unifier or solution of $\Gamma$ : A substitution $\sigma$ such that $\sigma\left(s_{i}\right)=\sigma\left(t_{i}\right)$ for all $1 \leq i \leq n$.
- $\mathcal{U}(\Gamma)$ : The set of all unifiers of $\Gamma$. $\Gamma$ is unifiable iff $\mathcal{U}(\Gamma) \neq \emptyset$.
- $\sigma$ is a most general unifier (mgu) of $\Gamma$ iff it is a least element of $\mathcal{U}(\Gamma)$ :
- $\sigma \in \mathcal{U}(\Gamma)$, and
- $\sigma \lesssim \vartheta$ for every $\vartheta \in \mathcal{U}(\Gamma)$.


## Unifiers

Example 3.6
$\sigma:=\{x \mapsto y\}$ is an mgu of $x=?$
For any other unifier $\vartheta$ of $x=? ~ y, \sigma \lesssim \vartheta$ because

- $\vartheta(x)=\vartheta(y)=\vartheta \sigma(x)$.
- $\vartheta(y)=\vartheta \sigma(y)$.
- $\vartheta(z)=\vartheta \sigma(z)$ for any other variable $z$.


## Unifiers

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For any other unifier $\vartheta$ of $x=?$

- $\vartheta(x)=\vartheta(y)=\vartheta \sigma(x)$.
- $\vartheta(y)=\vartheta \sigma(y)$.
- $\vartheta(z)=\vartheta \sigma(z)$ for any other variable $z$.
$\sigma^{\prime}:=\{x \mapsto z, y \mapsto z\}$ is a unifier but not an mgu of $x=?$
- $\sigma^{\prime}=\{y \mapsto z\} \sigma$.
- $\{z \mapsto y\} \sigma^{\prime}=\{x \mapsto y, z \mapsto y\} \neq \sigma$.


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$\sigma^{\prime}:=\{x \mapsto z, y \mapsto z\}$ is a unifier but not an mgu of $x=? ~ y$.
- $\sigma^{\prime}=\{y \mapsto z\} \sigma$.
- $\{z \mapsto y\} \sigma^{\prime}=\{x \mapsto y, z \mapsto y\} \neq \sigma$.
$\sigma^{\prime \prime}=\left\{x \mapsto y, z_{1} \mapsto z_{2}, z_{2} \mapsto z_{1}\right\}$ is an mgu of $x={ }^{?} y$.
- $\sigma=\left\{z_{1} \mapsto z_{2}, z_{2} \mapsto z_{1}\right\} \sigma^{\prime \prime}$.
- $\sigma^{\prime \prime}$ is not idempotent.


## Unification

Question: How to compute an mgu of an unification problem?

## Rule-Based Formulation of Unification

- Unification algorithm in a rule-base way.
- Repeated transformation of a set of equations.
- The left-to-right search for disagreements: modeled by term decomposition.


## The Inference System $\mathfrak{U}$

- A set of equations in solved form:

$$
\left\{x_{1} \approx t_{1}, \ldots, x_{n} \approx t_{n}\right\}
$$

where each $x_{i}$ occurs exactly once.

- For each idempotent substitution there exists exactly one set of equations in solved form.
- Notation:
- $[\sigma]$ for the solved form set for an idempotent substitution $\sigma$.
- $\sigma_{S}$ for the idempotent substitution corresponding to a solved form set $S$.


## The Inference System $\mathfrak{U}$

- System: The symbol $\perp$ or a pair $P ; S$ where
- $P$ is a set of unification problems,
- $S$ is a set of equations in solved form.
$-\perp$ represents failure.
- A unifier (or a solution) of a system $P ; S$ : A substitution that unifies each of the equations in $P$ and $S$.
- $\perp$ has no unifiers.


## The Inference System $\mathfrak{U}$

## Example 3.7

- System: $\left\{g(a)={ }^{?} g(y), g(z)={ }^{?} g(g(x))\right\} ;\{x \approx g(y)\}$.
- Its unifier: $\{x \mapsto g(a), y \mapsto a, z \mapsto g(g(a))\}$.


## The Inference System $\mathfrak{U}$

Six transformation rules on systems: ${ }^{1}$
Trivial:

$$
\{s=? s\} \uplus P^{\prime} ; S \Leftrightarrow P^{\prime} ; S
$$

Decomposition:

$$
\begin{aligned}
& \left\{f\left(s_{1}, \ldots, s_{n}\right)=?^{?} f\left(t_{1}, \ldots, t_{n}\right)\right\} \uplus P^{\prime} ; S \Leftrightarrow \\
& \quad\left\{s_{1}={ }^{?} t_{1}, \ldots, s_{n}={ }^{?} t_{n}\right\} \cup P^{\prime} ; S, \text { where } n \geq 0 .
\end{aligned}
$$

Symbol Clash:

$$
\left\{f\left(s_{1}, \ldots, s_{n}\right)=^{?} g\left(t_{1}, \ldots, t_{m}\right)\right\} \uplus P^{\prime} ; S \Leftrightarrow \perp, \text { if } f \neq g
$$

[^0]
## The Inference System $\mathfrak{U}$

## Orient:

$$
\{t=? x\} \uplus P^{\prime} ; S \Leftrightarrow\left\{x=^{?} t\right\} \cup P^{\prime} ; S \text {, if } t \notin \mathcal{V} \text {. }
$$

Occurs Check:

$$
\left\{x=^{?} t\right\} \uplus P^{\prime} ; S \Leftrightarrow \perp \text { if } x \in \mathcal{V} \operatorname{Var}(t) \text { but } x \neq t .
$$

Variable Elimination:

$$
\left\{x={ }^{?} t\right\} \uplus P^{\prime} ; S \Leftrightarrow\{x \mapsto t\}\left(P^{\prime}\right) ;\{x \mapsto t\}(S) \cup\{x \approx t\},
$$

if $x \notin \operatorname{V} \operatorname{ar}(t)$.

## Unification with $\mathfrak{U}$

In order to unify $s$ and $t$ :

1. Create an initial system $\{s=?$
2. Apply successively rules from $\mathfrak{U}$.

The system $\mathfrak{U}$ is essentially the Herbrand's Unification Algorithm.

## Properties of $\mathfrak{U}$ : Termination

Lemma 3.3
For any finite set of equations $P$, every sequence of transformations in $\mathfrak{U}$

$$
P ; \emptyset \Leftrightarrow P_{1} ; S_{1} \Leftrightarrow P_{2} ; S_{2} \Leftrightarrow \cdots
$$

terminates either with $\perp$ or with $\emptyset ; S$, with $S$ in solved form.

## Properties of $\mathfrak{U}$ : Termination

## Proof.

Complexity measure on the set $P$ of equations: $\left\langle n_{1}, n_{2}, n_{3}\right\rangle$, ordered lexicographically on triples of naturals, where $n_{1}=$ The number of distinct variables in $P$.
$n_{2}=$ The number of symbols in $P$.
$n_{3}=$ The number of equations in $P$ of the form $t=? x$ where $t$ is not a variable.

## Properties of $\mathfrak{U}$ : Termination

## Proof [Cont.]

Each rule in $\mathfrak{U}$ strictly reduces the complexity measure.

| Rule | $n_{1}$ | $n_{2}$ | $n_{3}$ |
| :--- | :---: | :---: | :---: |
| Trivial | $\geq$ | $>$ |  |
| Decomposition | $=$ | $>$ |  |
| Orient | $=$ | $=$ | $>$ |
| Variable Elimination | $>$ |  |  |

## Properties of $\mathfrak{U}$ : Termination

## Proof [Cont.]

- A rule can always be applied to a system with non-empty $P$.
- The only systems to which no rule can be applied are $\perp$ and $\emptyset ; S$.
- Whenever an equation is added to $S$, the variable on the left-hand side is eliminated from the rest of the system, i.e. $S_{1}, S_{2}, \ldots$ are in solved form.

Corollary 3.1
If $P ; \emptyset \Leftrightarrow^{+} \emptyset ; S$ then $\sigma_{S}$ is idempotent.

## Properties of $\mathfrak{U}$ : Correctness

Notation: $\Gamma$ for systems.
Lemma 3.4
For any transformation $P ; S \Leftrightarrow \Gamma$, a substitution $\vartheta$ unifies $P ; S$ iff it unifies $\Gamma$.

## Properties of $\mathfrak{U}$ : Correctness

## Proof.

Occurs Check: If $x \in \mathcal{V} \operatorname{ar}(t)$ and $x \neq t$, then

- $x$ contains fewer symbols than $t$,
- $\vartheta(x)$ contains fewer symbols than $\vartheta(t)$ (for any $\vartheta$ ).

Therefore, $\vartheta(x)$ and $\vartheta(t)$ can not be unified.
Variable Elimination: From $\vartheta(x)=\vartheta(t)$, by structural induction on $u$ :

$$
\vartheta(u)=\vartheta\{x \mapsto t\}(u)
$$

for any term, equation, or set of equations $u$. Then

$$
\vartheta\left(P^{\prime}\right)=\vartheta\{x \mapsto t\}\left(P^{\prime}\right), \quad \vartheta\left(S^{\prime}\right)=\vartheta\{x \mapsto t\}\left(S^{\prime}\right)
$$

## Properties of $\mathfrak{U}$ : Correctness

Theorem 3.5 (Soundness)
If $P ; \emptyset \Leftrightarrow^{+} \emptyset ; S$, then $\sigma_{S}$ unifies any equation in $P$.

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Proof.
By induction on the length of derivation, using the previous lemma and the fact that $\sigma_{S}$ unifies $S$.

## Properties of $\mathfrak{U}$ : Correctness

Theorem 3.6 (Completeness)
If $\vartheta$ unifies every equation in $P$, then any maximal sequence of transformations $P ; \emptyset \Leftrightarrow \cdots$ ends in a system $\emptyset ; S$ such that $\sigma_{S} \lesssim \vartheta$.

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Theorem 3.6 (Completeness)
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## Proof.

Such a sequence must end in $\emptyset ; S$ where $\vartheta$ unifies $S$ (why?). For every binding $x \mapsto t$ in $\sigma_{S}, \vartheta \sigma_{S}(x)=\vartheta(t)=\vartheta(x)$ and for every $x \notin \mathcal{D o m}\left(\sigma_{S}\right), \vartheta \sigma_{S}(x)=\vartheta(x)$. Hence, $\vartheta=\vartheta \sigma_{S}$.

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## Corollary 3.2

If $P$ has no unifiers, then any maximal sequence of transformations from $P ; \emptyset$ must have the form $P ; \emptyset \Leftrightarrow \cdots \Leftrightarrow \perp$.

## Observations

- $\mathfrak{U}$ computes an idempotent mgu.
- The choice of rules in computations via $\mathfrak{U}$ is "don't care" nondeterminism (the word "any" in Completeness Theorem).
- Any control strategy will result to an mgu for unifiable terms, and failure for non-unifiable terms.
- Any practical algorithm that proceeds by performing transformations of $\mathfrak{U}$ in any order is
- sound and complete,
- generates mgus for unifiable terms.
- Not all transformation sequences have the same length.
- Not all transformation sequences end in exactly the same mgu.


## Matching

Definition 3.5
Matcher, Matching Problem

- A substitution $\sigma$ is a matcher of $s$ to $t$ if $\sigma(s)=t$.
- A matching equation between $s$ and $t$ is represented as $s \lesssim^{?} t$.
- A matching problem is a finite set of matching equations.


## Matching vs Unification

Example 3.8

| $f(x, y) \lesssim^{?} f(g(z), c)$ | $f(x, y)=^{?} f(g(z), c)$ |
| :--- | :--- |
| $\{x \mapsto g(z), y \mapsto c\}$ | $\{x \mapsto g(z), y \mapsto c\}$ |

## Matching vs Unification

Example 3.8

$$
\begin{array}{ll}
\hline f(x, y) \lesssim^{?} f(g(z), c) & f(x, y)=^{?} f(g(z), c) \\
\{x \mapsto g(z), y \mapsto c\} & \{x \mapsto g(z), y \mapsto c\} \\
\hline f(x, y) \lesssim^{?} f(g(z), x) & f(x, y)=^{?} f(g(z), x) \\
\{x \mapsto g(z), y \mapsto x\} & \{x \mapsto g(z), y \mapsto g(z)\} \\
\hline
\end{array}
$$

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| $\{x \mapsto g(z), y \mapsto c\}$ | $\{x \mapsto g(z), y \mapsto c\}$ |
| $f(x, y) \lesssim^{?} f(g(z), x)$ | $f(x, y)=^{?} f(g(z), x)$ |
| $\{x \mapsto g(z), y \mapsto x\}$ | $\{x \mapsto g(z), y \mapsto g(z)\}$ |
| $f(x, a) \lesssim^{?} f(b, y)$ | $f(x, a)=^{?} f(b, y)$ |
| No matcher | $\{x \mapsto b, y \mapsto a\}$ |

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| $f(x, y) \lesssim^{?} f(g(z), x)$ | $f(x, y)=^{?} f(g(z), x)$ |
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| :--- | :--- |
| $\{x \mapsto g(z), y \mapsto c\}$ | $\{x \mapsto g(z), y \mapsto c\}$ |
| $f(x, y) \lesssim^{?} f(g(z), x)$ | $f(x, y)=^{?} f(g(z), x)$ |
| $\{x \mapsto g(z), y \mapsto x\}$ | $\{x \mapsto g(z), y \mapsto g(z)\}$ |
| $f(x, a) \lesssim^{?} f(b, y)$ | $f(x, a)=^{?} f(b, y)$ |
| No matcher | $\{x \mapsto b, y \mapsto a\}$ |
| $f(x, x) \lesssim^{?} f(x, a)$ | $f(x, x)=^{?} f(x, a)$ |
| No matcher | $\{x \mapsto a\}$ |
| $x \lesssim^{?} f(x)$ | $x=^{?} f(x)$ |
| $\{x \mapsto f(x)\}$ | No unifier |

## How to Solve Matching Problems

- $s={ }^{?} t$ and $s \lesssim ? ~ t$ coincide, if $t$ is ground.
- When $t$ is not ground in $s \lesssim ? ~ t$, simply regard all variables in $t$ as constants and use the unification algorithm.
- Alternatively, modify the rules in $\mathfrak{U}$ to work directly with the matching problem.


## Matched Form

- A set of equations $\left\{x_{1} \approx t_{1}, \ldots, x_{n} \approx t_{n}\right\}$ is in matched from, if all $x$ 's are pairwise distinct.
- The notation $\sigma_{S}$ extends to matched forms.
- If $S$ is in matched form, then

$$
\sigma_{S}(x)= \begin{cases}t, & \text { if } x \approx t \in S \\ x, & \text { otherwise }\end{cases}
$$

## The Inference System $\mathfrak{M}$

- Matching system: The symbol $\perp$ or a pair $P ; S$, where
- $P$ is set of matching problems.
- $S$ is set of equations in matched form.
- A matcher (or a solution) of a system $P ; S$ : A substitution that solves each of the matching equations in $P$ and $S$.
- $\perp$ has no matchers.


## The Inference System $\mathfrak{M}$

Five transformation rules on matching systems: ${ }^{2}$

## Decomposition:

$$
\begin{aligned}
& \left\{f\left(s_{1}, \ldots, s_{n}\right) \lesssim^{?} f\left(t_{1}, \ldots, t_{n}\right)\right\} \uplus P^{\prime} ; S \Leftrightarrow \\
& \quad\left\{s_{1} \lesssim^{?} t_{1}, \ldots, s_{n} \lesssim^{?} t_{n}\right\} \cup P^{\prime} ; S, \text { where } n \geq 0 .
\end{aligned}
$$

## Symbol Clash:

$$
\left\{f\left(s_{1}, \ldots, s_{n}\right) \lesssim ? g\left(t_{1}, \ldots, t_{m}\right)\right\} \uplus P^{\prime} ; S \Leftrightarrow \perp, \text { if } f \neq g .
$$

[^1]
## The Inference System $\mathfrak{M}$

## Symbol-Variable Clash:

$$
\left\{f\left(s_{1}, \ldots, s_{n}\right) \lesssim ? x\right\} \uplus P^{\prime} ; S \Leftrightarrow \perp .
$$

Merging Clash:

$$
\left\{x \lesssim ? t_{1}\right\} \uplus P^{\prime} ;\left\{x \approx t_{2}\right\} \uplus S^{\prime} \Leftrightarrow \perp, \text { if } t_{1} \neq t_{2} .
$$

Elimination:

$$
\left\{x \lesssim^{?} t\right\} \uplus P^{\prime} ; S \Leftrightarrow P^{\prime} ;\{x \approx t\} \cup S,
$$

if $S$ does not contain $x \approx t^{\prime}$ with $t \neq t^{\prime}$.

## Matching with $\mathfrak{M}$

In order to match $s$ to $t$

1. Create an initial system $\left\{s \lesssim^{?} t\right\} ; \emptyset$.
2. Apply successively the rules from $\mathfrak{M}$.

## Matching with $\mathfrak{M}$

## Example 3.9

Match $f(x, f(a, x))$ to $f(g(a), f(a, g(a)))$ :

$$
\begin{aligned}
& \left\{f(x, f(a, x)) \lesssim^{?} f(g(a), f(a, g(a)))\right\} ; \emptyset \Leftrightarrow_{\text {Decomposition }} \\
& \left\{x \lesssim^{?} g(a), f(a, x) \lesssim^{?} f(a, g(a))\right\} ; \emptyset \Leftrightarrow_{\text {Elimination }} \\
& \left\{f(a, x) \lesssim^{?} f(a, g(a))\right\} ;\{x \approx g(a)\} \Leftrightarrow_{\text {Decomposition }} \\
& \left\{a \lesssim^{?} a, x \lesssim^{?} g(a)\right\} ;\{x \approx g(a)\} \Leftrightarrow_{\text {Decomposition }} \\
& \left\{x \lesssim^{?} g(a)\right\} ;\{x \approx g(a)\} \Leftrightarrow_{\text {Merge }} \\
& \emptyset ;\{x \approx g(a)\}
\end{aligned}
$$

Matcher: $\{x \mapsto g(a)\}$.

## Matching with $\mathfrak{M}$

Example 3.10
Match $f(x, x)$ to $f(x, a)$ :

$$
\begin{aligned}
& \left\{f(x, x) \lesssim^{?} f(x, a)\right\} ; \emptyset \Leftrightarrow_{\text {Decomposition }} \\
& \left\{x \lesssim^{?} x, x \lesssim^{?} a\right\} ; \emptyset \Leftrightarrow_{\text {Elimination }} \\
& \left\{x \lesssim^{?} a\right\} ;\{x \approx x\} \Leftrightarrow_{\text {Merging Clash }} \\
& \perp
\end{aligned}
$$

No matcher.

## Properties of $\mathfrak{M}$ : Termination

Theorem 3.7
For any finite set of matching problems $P$, every sequence of transformations in $\mathfrak{M}$ of the form $P ; \emptyset \Leftrightarrow P_{1} ; S_{1} \Leftrightarrow P_{2} ; S_{2} \Leftrightarrow \cdots$ terminates either with $\perp$ or with $\emptyset ; S$, with $S$ in matched form.

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## Proof.

- Termination is obvious, since every rule strictly decreases the size of the first component of the matching system.
- A rule can always be applied to a system with non-empty $P$.
- The only systems to which no rule can be applied are $\perp$ and $\emptyset ; S$.
- Whenever $x \approx t$ is added to $S$, there is no other equation $x \approx t^{\prime}$ in $S$. Hence, $S_{1}, S_{2}, \ldots$ are in matched form.


## Properties of $\mathfrak{M}$ : Correctness

The following lemma is straightforward:
Lemma 3.5
For any transformation of matching systems $P ; S \Leftrightarrow \Gamma$, a substitution $\vartheta$ is a matcher for $P ; S$ iff it is a matcher for $\Gamma$.

## Properties of $\mathfrak{M}$ : Correctness

Theorem 3.8 (Soundness)
If $P ; \emptyset \Leftrightarrow^{+} \emptyset ; S$, then $\sigma_{S}$ solves all matching equations in $P$.

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Theorem 3.8 (Soundness)
If $P ; \emptyset \Leftrightarrow^{+} \emptyset ; S$, then $\sigma_{S}$ solves all matching equations in $P$.
Proof.
By induction on the length of derivations, using the previous lemma and the fact that $\sigma_{S}$ solves the matching problems in $S$.

## Properties of $\mathfrak{M}$ : Correctness

Let $v\left(\left\{s_{1} \approx t_{1}, \ldots, s_{n} \approx t_{n}\right\}\right)$ be $\operatorname{Var}\left(\left\{s_{1}, \ldots, s_{n}\right\}\right)$.
Theorem 3.9 (Completeness)
If $\vartheta$ is a matcher of $P$, then any maximal sequence of transformations $P ; \emptyset \Leftrightarrow \cdots$ ends in a system $\emptyset ; S$ such that $\sigma_{S}=\left.\vartheta\right|_{v(P)}$.

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## Proof.

Such a sequence must end in $\emptyset ; S$ where $\vartheta$ is a matcher of $S$. $v(S)=v(P)$. For every equation $x \approx t \in S$, either $t=x$ or $x \mapsto t \in \sigma_{S}$. Therefore, for any such $x, \sigma_{S}(x)=t=\vartheta(x)$. Hence, $\sigma_{S}=\left.\vartheta\right|_{v(P)}$.

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Corollary 3.3
If $P$ has no matchers, then any maximal sequence of transformations from $P ; \emptyset$ must have the form $P ; \emptyset \Leftrightarrow \cdots \Leftrightarrow \perp$.


[^0]:    ${ }^{1} \uplus$ stands for disjoint union.

[^1]:    ${ }^{2} \uplus$ stands for disjoint union.

