

# Rewriting

## Part 6. Completion of Term Rewriting Systems

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**Decide:**  $s \approx_E t$  holds or not.



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- ▶ The problem is undecidable for an arbitrary  $E$ .
- ▶ Try to construct a decision procedure for a given finite  $E$ .
- ▶ When  $E$  is finite and  $\rightarrow_E$  is convergent, the word problem is decidable.



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**Show Confluence:** Decide confluence of the terminating TRS  $R$ , by computing all critical pairs between rules in  $R$  and testing them for confluence. If this step succeeds, the rewrite relation  $\rightarrow_R$  yields a decision procedure for the word problem for  $E$ . Otherwise fail.





# Example When The Simple Approach Succeeds

## Example 6.1

Let  $E := \{x + 0 \approx x, x + s(y) \approx s(x + y)\}$ .



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**Show Confluence:** It is also confluent since there are no critical pairs.



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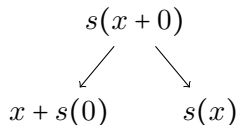
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**Show Confluence:** It is not confluent since the following critical pair is not joinable:



# Main Ideas Behind Completion

- ▶ If the critical pair  $\langle s, t \rangle$  of  $R$  is not joinable, then there are distinct normal forms  $\hat{s}, \hat{t}$  of  $s, t$ .
- ▶ Adding  $\hat{s} \rightarrow \hat{t}$  or  $\hat{t} \rightarrow \hat{s}$  does not change the equational theory generated by  $R$ , because  $\hat{s} \approx \hat{t}$  is an equational consequence of  $R$ .
- ▶ In the extended system,  $\langle s, t \rangle$  is joinable.
- ▶ To obtain a terminating new system, we need  $\hat{s} > \hat{t}$  or  $\hat{t} > \hat{s}$



# The Basic Completion Procedure

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**Input:**

A finite set  $E$  of  $\Sigma$ -identities and a reduction order  $>$  on  $T(\Sigma, V)$ .

**Output:**

A finite convergent TRS  $R$  that is equivalent to  $E$ , if the procedure terminates successfully;

“Fail”, if the procedure terminates unsuccessfully.

**Initialization:**

If there exists  $(s \approx t) \in E$  such that  $s \neq t$ ,  $s \not> t$  and  $t \not> s$ , then terminate with output **Fail**.

Otherwise,  $i := 0$  and  $R_0 := \{l \rightarrow r \mid (l \approx r) \in E \cup E^{-1} \wedge l > r\}$ .

**repeat**  $R_{i+1} := R_i$ ;

**for all**  $\langle s, t \rangle \in CP(R_i)$  **do**

(a) Reduce  $s, t$  to some  $R_i$ -normal forms  $\widehat{s}, \widehat{t}$ ;

(b) If  $\widehat{s} \neq \widehat{t}$  and neither  $\widehat{s} > \widehat{t}$  nor  $\widehat{t} > \widehat{s}$ , then terminate with output **Fail**;

(c) If  $\widehat{s} > \widehat{t}$ , then  $R_{i+1} := R_{i+1} \cup \{\widehat{s} \rightarrow \widehat{t}\}$ ;

(d) If  $\widehat{t} > \widehat{s}$ , then  $R_{i+1} := R_{i+1} \cup \{\widehat{t} \rightarrow \widehat{s}\}$ ;

**od**

$i := i + 1$ ;

**until**  $R_i = R_{i-1}$ ;

**output**  $R_i$ ;

---





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The procedure shows three different types of behavior, depending on particular input  $E$  and  $>$ :

1. It may terminate with failure because one of the nontrivial input identities can not be ordered using  $>$ , or the normal forms of the terms in one of the critical pairs are distinct and can not be oriented by using  $>$ . Not much is gained. One can restart the procedure with a different reduction order.



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2. It may terminate successfully with output  $R_n$  because in  $n$ th step of the iteration all critical pairs are joinable.  $R_n$  is a finite convergent system equivalent to  $E$ . It can be used to decide the word problem for  $E$ .



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3. It may run forever since infinitely many new rules are generated. In this case,  $R_\infty := \bigcup_{i \geq 0} R_i$  is an infinite convergent system that is equivalent to  $E$ . Yields a semidecision procedure for  $\approx_E$ .



## Example: The Procedure Terminates Successfully

Input:

$E := \{f(f(x)) \approx g(x)\}$ , LPO  $>_{lpo}$  induced by  $f > g$ .

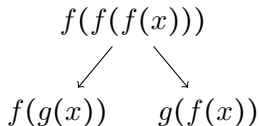


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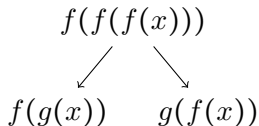


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$R_2 = R_1$ .



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Output:

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## Example: The Procedure Terminates with Failure

Input:

$$E := \{x * (y + z) \approx (x * y) + (x * z), (u + v) * w \approx (u * w) + (v * w)\},$$

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The procedure fails.



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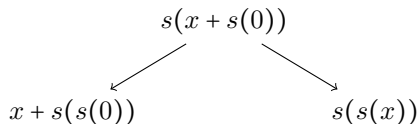
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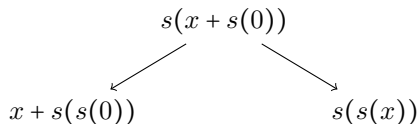
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At each step of the iteration a new rule of the form  $x + s^n(0) \rightarrow s^n(0)$  is generated. The procedure does not stop.



# Drawbacks of the Basic Completion

- ▶ In practice, the basic completion procedure generates a huge number of rules.
- ▶ All of them should be taken into account when computing critical pairs.
- ▶ It makes both time and space requirement often unacceptably high.



# Addressing the Drawbacks

- ▶ All implementations of completion “simplify” rules by reducing them with the help of other rules.
- ▶ If both sides of a rule reduce to the same term, the rule can be removed.
- ▶ Yields smaller rules.
- ▶ Improved completion procedure.

## Example 6.3

$$R := \{f(f(x, y), z) \rightarrow f(x, f(y, z)), f(x, f(y, z)) \rightarrow f(x, z)\}$$





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# An Improved Completion Procedure

- ▶ Described as a set of inference rules.
- ▶ Specific completion procedure is obtained by fixing a strategy for application of the rules.
- ▶ Works on pairs  $(E, R)$ , where  $E$  is a set of identities and  $R$  is a set of rewrite rules.
- ▶  $E$  contains input identities and not-yet-oriented critical pairs with the input reduction ordering  $>$ .
- ▶  $R$  is a set of rewrite rules oriented with input ordering  $>$ .
- ▶ **Goal:** To transform an initial pair  $(E_0, \emptyset)$  into  $(\emptyset, R)$  such that  $R$  is convergent and equivalent to  $E$ .



# An Improved Completion Procedure

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DEDUCE	$\frac{E, R}{E \cup \{s \approx t\}, R}$	if $s \leftarrow_R u \rightarrow_R t$
ORIENT	$\frac{E \cup \{s \dot{\approx} t\}, R}{E, R \cup \{s \rightarrow t\}}$	if $s > t$
DELETE	$\frac{E \cup \{s \approx s\}, R}{E, R}$	
SIMPLIFY-IDENTITY	$\frac{E \cup \{s \dot{\approx} t\}, R}{E \cup \{u \approx t\}, R}$	if $s \rightarrow_R u$
R-SIMPLIFY-RULE	$\frac{E, R \cup \{s \rightarrow t\}}{E, R \cup \{s \rightarrow u\}}$	if $t \rightarrow_R u$
L-SIMPLIFY-RULE	$\frac{E, R \cup \{s \rightarrow t\}}{E \cup \{u \approx t\}, R}$	if $s \xrightarrow{\exists}_R u$

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# An Improved Completion Procedure

- ▶ In the L-SIMPLIFY-RULE,  $s \overset{\exists}{\rightarrow}_R u$  says that  $s$  is reduced by a rule  $l \rightarrow r \in R$  such that  $l$  can not be reduced by  $s \rightarrow t$ .



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- ▶ If  $R := \{f(x, y) \rightarrow x, f(x, y) \rightarrow y\}$ , then L-SIMPLIFY-RULE can not be applied.
- ▶ Notation:  $(E, R) \vdash_C (E', R')$  means that  $(E, R)$  can be transformed into  $(E', R')$  by one of the inference rules.





# Termination

## Lemma 6.1 (Termination)

*If  $R \sqsubseteq >$  and  $(E, R) \vdash_{\mathcal{C}} (E', R')$ , then  $R' \sqsubseteq >$ .*

**Proof.**

All rules are oriented wrt the reduction order  $>$ .



# Soundness

## Lemma 6.2 (Soundness)

If  $(E_1, R_2) \vdash_{\mathcal{C}} (E_2, R_2)$ , then  $\approx_{E_1 \cup R_1} = \approx_{E_2 \cup R_2}$ .



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For SIMPLIFY-IDENTITY,  $E_1 = E \cup \{s \approx t\}$ ,  $E_2 = E \cup \{u \approx t\}$ ,  $R_1 = R = R_2$ , and  $s \rightarrow_R u$ . We have  $u \approx_{E_1 \cup R_1} t$ , which implies  $\approx_{E_2 \cup R_2} \subseteq \approx_{E_1 \cup R_1}$ . Conversely,  $u \approx t \in E_2$ ,  $s \rightarrow_R u$ , and  $R = R_2$  imply that  $s \approx_{E_2 \cup R_2} t$  and, hence,  $\approx_{E_1 \cup R_1} \subseteq \approx_{E_2 \cup R_2}$ .



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For R-SIMPLIFY, we have  $E_1 = E = E_2$ ,  $R_1 = R \cup \{s \rightarrow t\}$ ,  $R_2 = R \cup \{s \rightarrow u\}$ , and  $t \rightarrow_R u$ .  $s \rightarrow t \in R_1$ ,  $t \rightarrow_R u$ , and  $R \subseteq R_1$  imply  $s \approx_{E_1 \cup R_1} u$ .  $s \rightarrow u \in R_2$ ,  $t \rightarrow_R u$ , and  $R \subseteq R_2$  imply  $s \approx_{E_2 \cup R_2} u$ . Hence,  $\approx_{E_1 \cup R_1} = \approx_{E_2 \cup R_2}$ .



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For L-SIMPLIFY the proof is similar.



# Completion Procedure

## Definition 6.1 (Completion Procedure)

A **completion procedure** is a program that accepts as input a finite set of identities and a reduction order  $>$ , and uses the inference rules to generate a (finite or infinite) sequence

$$(E_0, R_0) \vdash_C (E_1, R_1) \vdash_C (E_2, R_2) \vdash_C (E_3, R_3) \vdash_C \dots,$$

where  $R_0 := 0$ . The sequence is called a **run** of the procedure on input  $E_0$  and  $>$ .



# Completion Procedure

- ▶ To treat finite and infinite runs simultaneously, we extend every finite run  $(E_0, R_0) \vdash_{\mathcal{C}} \cdots \vdash_{\mathcal{C}} (E_n, R_n)$  to an infinite one by setting  $(E_{n+i}, R_{n+i}) := (E_n, R_n)$  for all  $i \geq 1$ .





# Completion Procedure

- ▶ To treat finite and infinite runs simultaneously, we extend every finite run  $(E_0, R_0) \vdash_{\mathcal{C}} \cdots \vdash_{\mathcal{C}} (E_n, R_n)$  to an infinite one by setting  $(E_{n+i}, R_{n+i}) := (E_n, R_n)$  for all  $i \geq 1$ .
- ▶ Result of the run: persistent identities and rules:

$$E_\omega := \bigcup_{i \geq 0} \bigcap_{j \geq i} E_j \quad \text{and} \quad R_\omega := \bigcup_{i \geq 0} \bigcap_{j \geq i} R_j.$$



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- ▶ If the run is finite, then  $E_\omega = E_n$  and  $R_\omega = R_n$ .



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- ▶ If the run is finite, then  $E_\omega = E_n$  and  $R_\omega = R_n$ .
- ▶ If the run is infinite, persistent identities (rules) are those that belong to some  $E_i$  ( $R_i$ ) and are never removed in later inference steps.



# Success, Failure, Correctness

## Definition 6.2 (Success, Failure, Correctness)

A run on input  $E_o$  of a completion procedure

- ▶ **succeeds** iff  $E_\omega = \emptyset$  and  $R_\omega$  is convergent and equivalent to  $E_o$ ,
- ▶ **fails** iff  $E_\omega \neq \emptyset$ ,
- ▶ is **correct** iff every run that does not fail succeeds.



# Success, Failure, Correctness

For the basic completion procedure,

- ▶ failure occurs if an input identity can not be oriented, or the normal forms of a critical pair are distinct (can not be removed by `DELETE`) and can not be oriented using `>`.
- ▶ The other two cases (terminates successfully, does not terminate) are successful in terms of Definition 6.2.



# Success, Failure, Correctness

An arbitrary completion procedure may also have infinite failing runs.

## Example 6.4

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), f(g(f(x))) \approx f(g(x))\}$$

$>_{lpo}$  induced by  $g > h > f > a$ .

The procedure generates an infinite run with

$$E_\omega = \{f(x) \approx f(y)\}$$

$$R_\omega = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y)\} \cup \\ \{fg^n f(x) \rightarrow fg^n(x) \mid n \geq 1\}.$$



# Success, Failure, Correctness

- ▶ It makes sense not to terminate with failure if a reduced and nonorientable identity is encountered.
- ▶ One simply defers the orientation of this identity until new rules are obtained.
- ▶ If the new set of rules allows one to simplify the identity to an orientable or trivial one, then one can apply `ORIENT` or `DELETE`.
- ▶ Otherwise, the treatment of this identity is deferred again.



# Success, Failure, Correctness

## Example 6.5

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\} \\ >_{lpo} \text{ induced by } g > h > f > a.$$





# Success, Failure, Correctness

## Example 6.5

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\} \\ >_{lpo} \text{ induced by } g > h > f > a.$$

Apply ORIENT 4 times:

$$E_4 = \emptyset$$

$$R_4 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.5

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\} \\ >_{lpo} \text{ induced by } g > h > f > a.$$

Apply ORIENT 4 times:

$$E_4 = \emptyset$$

$$R_4 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a\}$$

Apply DEDUCE twice:

$$E_6 = \{f(x) \approx f(y), h(x, y) \approx a\}$$

$$R_6 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.6

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\}$$

$>_{lpo}$  induced by  $g > h > f > a$ .

$$E_6 = \{f(x) \approx f(y), h(x, y) \approx a\}$$

$$R_6 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.6

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\}$$

$>_{lpo}$  induced by  $g > h > f > a$ .

$$E_6 = \{f(x) \approx f(y), h(x, y) \approx a\}$$

$$R_6 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a\}$$

Apply ORIENT:

$$E_7 = \{f(x) \approx f(y)\}$$

$$R_7 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a, h(x, y) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.7

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\}$$

$>_{lpo}$  induced by  $g > h > f > a$ .

$$E_7 = \{f(x) \approx f(y)\}$$

$$R_7 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a, h(x, y) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.7

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\} \\ >_{lpo} \text{ induced by } g > h > f > a.$$

$$E_7 = \{f(x) \approx f(y)\}$$

$$R_7 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a, h(x, y) \rightarrow a\}$$

Apply DEDUCE: (The basic completion would fail here, since the critical pair  $f(x) \approx f(y)$  is unorientable.)

$$E_8 = \{f(x) \approx f(y), f(x) \approx a\}$$

$$R_8 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a, h(x, y) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.8

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\}$$

$>_{lpo}$  induced by  $g > h > f > a$ .

$$E_8 = \{f(x) \approx f(y), f(x) \approx a\}$$

$$R_8 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a, h(x, y) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.8

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\}$$

$>_{lpo}$  induced by  $g > h > f > a$ .

$$E_8 = \{f(x) \approx f(y), f(x) \approx a\}$$

$$R_8 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), \\ g(x, y) \rightarrow h(x, y), g(x, y) \rightarrow a, h(x, y) \rightarrow a\}$$

Apply ORIENT

$$E_9 = \{f(x) \approx f(y)\}$$

$$R_9 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), g(x, y) \rightarrow h(x, y) \\ g(x, y) \rightarrow a, h(x, y) \rightarrow a, f(x) \rightarrow a\}$$





# Success, Failure, Correctness

## Example 6.9

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\}$$

$>_{lpo}$  induced by  $g > h > f > a$ .

$$E_9 = \{f(x) \approx f(y)\}$$

$$R_9 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), g(x, y) \rightarrow h(x, y)$$
$$g(x, y) \rightarrow a, h(x, y) \rightarrow a, f(x) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.9

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\} \\ >_{lpo} \text{ induced by } g > h > f > a.$$

$$E_9 = \{f(x) \approx f(y)\}$$

$$R_9 = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), g(x, y) \rightarrow h(x, y) \\ g(x, y) \rightarrow a, h(x, y) \rightarrow a, f(x) \rightarrow a\}$$

Apply SIMPLIFY-IDENTITY twice

$$E_{11} = \{a \approx a\}$$

$$R_{11} = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), g(x, y) \rightarrow h(x, y) \\ g(x, y) \rightarrow a, h(x, y) \rightarrow a, f(x) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.10

Input:

$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\}$   
 $>_{lpo}$  induced by  $g > h > f > a$ .

$$E_{11} = \{a \approx a\}$$

$$R_{11} = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), g(x, y) \rightarrow h(x, y) \\ g(x, y) \rightarrow a, h(x, y) \rightarrow a, f(x) \rightarrow a\}$$



# Success, Failure, Correctness

## Example 6.10

Input:

$$E_0 = \{h(x, y) \approx f(x), h(x, y) \approx f(y), g(x, y) \approx h(x, y), g(x, y) \approx a\} \\ >_{lpo} \text{ induced by } g > h > f > a.$$

$$E_{11} = \{a \approx a\}$$

$$R_{11} = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), g(x, y) \rightarrow h(x, y) \\ g(x, y) \rightarrow a, h(x, y) \rightarrow a, f(x) \rightarrow a\}$$

Apply DELETE

$$E_{11} = \emptyset$$

$$R_{11} = \{h(x, y) \rightarrow f(x), h(x, y) \rightarrow f(y), g(x, y) \rightarrow h(x, y) \\ g(x, y) \rightarrow a, h(x, y) \rightarrow a, f(x) \rightarrow a\}$$

Hence, we manage to simplify and delete an unorientable identity.



# Fairness

## Definition 6.3 (Fairness)

A run of a completion procedure is called **fair** iff

$$CP(R_\omega) \subseteq \bigcup_{i \geq 0} E_i.$$

A completion procedure is fair iff every non-failing run is fair.

## Theorem 6.1

*Every fair completion procedure is correct.*

